



Mary-Ann Winkelmes <mary-ann.winkelmes@unlv.edu>

IRBNet message from Cindy Lee-Tataseo

1 message

Cindy Lee-Tataseo <no-reply@irbnet.org>

Thu, Oct 26, 2017 at 6:49 PM

Reply-To: Cindy Lee-Tataseo <cindy.lee-tataseo@unlv.edu>

To: Mary-Ann Winkelmes <mary-ann.winkelmes@unlv.edu>

Message from Cindy Lee-Tataseo:

Re: [711238-15] Transparency in Learning and Teaching Initiative

Dr. Winkelmes,

Thank you for submitting the following modification:

1. Give existing research team member, Daniel Richard, access to some identifiers (student ID numbers, faculty identifiers and institution identifiers).
2. Addition of Deborah Fontaine to the research team.
3. The addition of Matthew Case and Stefanie Drew is not completed because they do not have CITI human subjects training. The PI will submit another Modification to add them when they have completed it.

You may now make the changes in number 1 and 2 to your research. This does not change the exempt status of your study.

Regards,
Cindy Lee-Tataseo

UNLV

Modification Request Form

Instructions:

1. Complete all sections of this form.
2. Submit all previously submitted documents that contain information affected by the modification(s).

Note:

1. Handwritten and hand delivered forms **will not** be accepted.
2. INCOMPLETE FORMS WILL BE RETURNED.
3. Modification may not be implemented until you have received notification of IRB approval.
4. For your records, it is important that you keep a copy of this completed form.

General Information

Submittal Date: 10/20/2017

Principal Investigator Name: Mary-Ann Winkelmes

Protocol Title: Transparency in Learning and Teaching project

Protocol Number: 1305-4474 (711238) Last Approval Date: 09/20/2017

Prior Approval: ☐ Expedited Review ☐ Full Board Review ☒ Exempt

Description of Modification

Type of Modification (check all that apply):

☐ Currently approved procedure

☐ Informed Consent

☐ Number of subjects

☐ Survey/Questionnaire

☒ Research Team**

☒ Other (e.g., advertisement, flyer, etc.)

☐ Title

Modification Summary

Briefly describe the modification.

We wish to give existing research team member Daniel Richard access to some identifiers (student ID numbers, faculty identifiers and institution identifiers). We also wish to add several research team members who will have access to student ID numbers from their own institutions/systems so they can facilitate our project's connecting retention and GPA data with student survey responses.

**Note: Addition of research team must include name(s) and role(s). Change in PI must be submitted and signed by the original PI on the protocol. Include the reason for the change in the modification summary.

Reanalysis of Risk (check one)

☒ This modification **does not** increase risk to participants enrolled in this study.

☐ This modification **does** increase risk to participants enrolled in this study.

Signatures of Assurance

A. Investigator's Assurance:

I certify that the information provided in this application is complete and accurate. As Principal Investigator, I have ultimate responsibility for the conduct of this study, the ethical performance of the project, the protection of the rights and welfare of human subjects and strict adherence to any stipulations designated by the IRB. I agree to comply with all UNLV policies and procedures, as well as with all applicable Federal, State and local laws regarding the protection of human subjects in research including, but not limited to the following:

- Performing the project by qualified personnel according to the approved protocol.
- Not changing the approved protocol or consent form without prior IRB approval (except in an emergency, if necessary, to safeguard the well-being of human subjects).
- Obtaining proper informed consent from human subjects or their legally responsible representative, using only the currently approved, stamped consent form.
- Promptly reporting adverse events to the ORI – Human Subjects in writing according to IRB guidelines.
- Arranging for a co-investigator to assume direct responsibility, if the PI will be unavailable to direct this research personally, as when on sabbatical leave or vacation.

*****FACULTY ADVISOR (IF APPLICABLE):** By my signature as Principal Investigator on this research application, I certify that the student/fellow investigator is knowledgeable about the regulations and policies governing research with human subjects and has sufficient training and experience to conduct this particular study in accordance with the approved protocol. In addition:

- I agree to act as the liaison between the IRB and the student/fellow investigator with all written and verbal communications.
- I agree to meet with the student/fellow investigator on a regular basis to monitor the progress of the study.
- I agree to be available and to personally supervise the student/fellow investigator in solving problems, as they arise.
- I assure that the student/fellow investigator will promptly report adverse events to the ORI – Human Subjects according to IRB guidelines.
- I will arrange for an alternate faculty advisor to assume responsibility if I become unavailable, as when on sabbatical leave or vacation.
- I assure that the student/fellow investigator will follow through with the storage and destruction of data as outlined in the protocol.

By submitting this form electronically, I agree to the assurance as stated above.



Additional Research Team Members Form

Instructions:

1. Complete all sections of this form.
2. INCOMPLETE FORMS WILL BE RETURNED.

1. General Information

Research Protocol Title: Transparency in Learning and Teaching in Higher Education Project

Principal Investigator: Mary-Ann Winkelmes

2. Research Team Members: *List all research team members (including PI) who will have contact with subjects, have contact with subjects' data or biological samples, or use subjects' personal information.*

NAME and DEPARTMENT	ROLE IN PROTOCOL	SPECIFIC EXPERIENCE WITH ROLE IN PROTOCOL	ROLE IN CONSENT PROCESS
EXAMPLE: Dr. Chris Researcher, Research Department	EXAMPLE: Developed protocol, collecting data, analyzing data, writing report	EXAMPLE: Has had previous research studies with human subjects	EXAMPLE: Recruiting subjects, writing the consent form, consenting subjects, answering questions
Daniel Richard, University of North Florida (Please note that Dr Richard was approved as a research team member in March 2016, package 5 in this protocol. This application seeks to supply Dr. Richard with access to some data with identifiers.	data analysis with identifiers	prior experience with human subjects research including data analysis on student work and student success	none
Stefanie Drew, University of California, Northridge	data analysis with identifiers	prior experience with human subjects research including data analysis on faculty development, student work and student success	none
Deborah Fontaine, Northwest Florida State College	data analysis with identifiers	prior experience with human subjects research including data analysis on student work and student success	none
Matthew Case, California State University Chancellor's Office	data analysis with identifiers	prior experience with human subjects research including data analysis on student work and student success	none
	data analysis with identifiers	prior experience with human subjects research including data analysis on student work and student success	none



Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Dan Richard

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education project

UNLV Protocol Number: 711238-13

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: FD Richard Date 10/18/2017

Name: Richard, FRANCIS DANIEL Degree(s): Ph.D.
(Last) (First) (Middle Initial)

Address: 1 UNF Drive phone #: 904-620-1446
Jacksonville FL 32246
(City) (State/Province) (Zip/Country)



Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Deborah Fontaine

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education project

UNLV Protocol Number: 711238-13

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
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- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Deborah L. Fontaine Date 10/9/2017

Name: Fontaine Deborah L. Degree(s): Ph.D.
 (Last) (First) (Middle Initial)

Address: 2037 Kildare Circle phone #: 850-729-6451 (W)
 Niceville FL 32578 850-803-0505 (C)
 (City) (State/Province) (Zip/Country)

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS*

* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Deborah Fontaine (ID: 6697719)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** fontaind@nwfsc.edu
- **Institution Unit:** TILT Project
- **Phone:** 8507296451

- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu

- **Record ID:** 24884446
- **Completion Date:** 08-Oct-2017
- **Expiration Date:** 07-Oct-2022
- **Minimum Passing:** 75
- **Reported Score*:** 93

REQUIRED AND ELECTIVE MODULES ONLY

	DATE COMPLETED	SCORE
History and Ethical Principles - SBE (ID: 490)	08-Oct-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	08-Oct-2017	5/5 (100%)
The Federal Regulations - SBE (ID: 502)	08-Oct-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	08-Oct-2017	5/5 (100%)
Informed Consent - SBE (ID: 504)	08-Oct-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	08-Oct-2017	4/5 (80%)
Research with Prisoners - SBE (ID: 506)	08-Oct-2017	5/5 (100%)
Research with Children - SBE (ID: 507)	08-Oct-2017	4/5 (80%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	08-Oct-2017	5/5 (100%)
International Research - SBE (ID: 509)	08-Oct-2017	4/5 (80%)
Internet-Based Research - SBE (ID: 510)	08-Oct-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	08-Oct-2017	4/4 (100%)
Conflicts of Interest in Human Subjects Research (ID: 17464)	08-Oct-2017	5/5 (100%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	08-Oct-2017	3/3 (100%)
UNLV (ID: 697)	08-Oct-2017	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: www.citiprogram.org/verify/?kf6172bdc-1d36-4161-93c0-58104be37cc6-24884446

Collaborative Institutional Training Initiative (CITI Program)

Email: support@citiprogram.org

Phone: 888-529-5929

Web: <https://www.citiprogram.org>

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT**

** NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Deborah Fontaine (ID: 6697719)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** fontaind@unwfsc.edu
- **Institution Unit:** TILT Project
- **Phone:** 8507296451
- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu
- **Record ID:** 24884446
- **Report Date:** 08-Oct-2017
- **Current Score**:** 93

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
History and Ethical Principles - SBE (ID: 490)	08-Oct-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	08-Oct-2017	5/5 (100%)
The Federal Regulations - SBE (ID: 502)	08-Oct-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	08-Oct-2017	5/5 (100%)
Informed Consent - SBE (ID: 504)	08-Oct-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	08-Oct-2017	4/5 (80%)
Research with Prisoners - SBE (ID: 506)	08-Oct-2017	5/5 (100%)
Research with Children - SBE (ID: 507)	08-Oct-2017	4/5 (80%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	08-Oct-2017	5/5 (100%)
International Research - SBE (ID: 509)	08-Oct-2017	4/5 (80%)
Internet-Based Research - SBE (ID: 510)	08-Oct-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	08-Oct-2017	4/4 (100%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	08-Oct-2017	3/3 (100%)
UNLV (ID: 697)	08-Oct-2017	No Quiz
Conflicts of Interest in Human Subjects Research (ID: 17464)	08-Oct-2017	5/5 (100%)

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Completion Date 08-Oct-2017
Expiration Date 07-Oct-2022
Record ID 24884446

This is to certify that:

Deborah Fontaine

Has completed the following CITI Program course:

Human Research (Curriculum Group)
Group 2. Social/Behavioral IRB (Course Learner Group)
1 - Basic Course (Stage)

Under requirements set by:

University of Nevada, Las Vegas



Verify at www.citiprogram.org/verify/?w5f29ec71-5780-4afe-a820-321210b2bed4-24884446



Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Stefanie Drew

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education

UNLV Protocol Number: 1305-4474

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
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- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Stefanie Drew Date 10/17/17

Name: Drew Stefanie A Degree(s): PhD
(Last) (First) (Middle Initial)

Address: 18111 Nordhoff St phone #: 818-3677-3503
Northridge Ca 91330-8255
(City) (State/Province) (Zip/Country)

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS*

* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Stefanie Drew (ID: 6710728)
- **Institution Affiliation:** California State University, Northridge (ID: 2469)
- **Institution Email:** stefanie.drew@csun.edu
- **Institution Unit:** Psychology
- **Phone:** 8186773503

- **Curriculum Group:** Social and Behavioral Responsible Conduct of Research
- **Course Learner Group:** Same as Curriculum Group
- **Stage:** Stage 1 - RCR
- **Description:** This course is for investigators, staff and students with an interest or focus in **Social and Behavioral** research. This course contains text, embedded case studies AND quizzes.

- **Record ID:** 24919717
- **Completion Date:** 11-Oct-2017
- **Expiration Date:** N/A
- **Minimum Passing:** 80
- **Reported Score*:** 100

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
California State University, Northridge (ID: 15174)	11-Oct-2017	No Quiz
Research Misconduct (RCR-Basic) (ID: 16604)	11-Oct-2017	5/5 (100%)
Data Management (RCR-Basic) (ID: 16600)	11-Oct-2017	5/5 (100%)
Authorship (RCR-Basic) (ID: 16597)	11-Oct-2017	5/5 (100%)
Peer Review (RCR-Basic) (ID: 16603)	11-Oct-2017	5/5 (100%)
Mentoring (RCR-Basic) (ID: 16602)	11-Oct-2017	5/5 (100%)
Using Animal Subjects in Research (RCR-Basic) (ID: 13301)	11-Oct-2017	5/5 (100%)
Conflicts of Interest (RCR-Basic) (ID: 16599)	11-Oct-2017	5/5 (100%)
Collaborative Research (RCR-Basic) (ID: 16598)	11-Oct-2017	5/5 (100%)
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Oct-2017	5/5 (100%)

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: www.citiprogram.org/verify/?kc2570950-3d1e-41c4-b858-43b29db3ceb2-24919717

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COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT**

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- **Name:** Stefanie Drew (ID: 6710728)
- **Institution Affiliation:** California State University, Northridge (ID: 2469)
- **Institution Email:** stefanie.drew@csun.edu
- **Institution Unit:** Psychology
- **Phone:** 8186773503
- **Curriculum Group:** Social and Behavioral Responsible Conduct of Research
- **Course Learner Group:** Same as Curriculum Group
- **Stage:** Stage 1 - RCR
- **Description:** This course is for investigators, staff and students with an interest or focus in **Social and Behavioral** research. This course contains text, embedded case studies AND quizzes.
- **Record ID:** 24919717
- **Report Date:** 11-Oct-2017
- **Current Score**:** 100

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
Using Animal Subjects in Research (RCR-Basic) (ID: 13301)	11-Oct-2017	5/5 (100%)
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Oct-2017	5/5 (100%)
California State University, Northridge (ID: 15174)	11-Oct-2017	No Quiz
Authorship (RCR-Basic) (ID: 16597)	11-Oct-2017	5/5 (100%)
Collaborative Research (RCR-Basic) (ID: 16598)	11-Oct-2017	5/5 (100%)
Conflicts of Interest (RCR-Basic) (ID: 16599)	11-Oct-2017	5/5 (100%)
Data Management (RCR-Basic) (ID: 16600)	11-Oct-2017	5/5 (100%)
Mentoring (RCR-Basic) (ID: 16602)	11-Oct-2017	5/5 (100%)
Peer Review (RCR-Basic) (ID: 16603)	11-Oct-2017	5/5 (100%)
Research Misconduct (RCR-Basic) (ID: 16604)	11-Oct-2017	5/5 (100%)

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Verify at: www.citiprogram.org/verify/?kc2570950-3d1e-41c4-b858-43b29db3ceb2-24919717

Collaborative Institutional Training Initiative (CITI Program)

Email: support@citiprogram.org

Phone: 888-529-5929

Web: <https://www.citiprogram.org>



Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Matthew Case

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education

UNLV Protocol Number: 1305-4474

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
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Investigator Signature:  Date 10/19/17

Name: Case Matthew H Degree(s): BA, MPP, Ed.D student
 (Last) (First) (Middle Initial)

Address: 401 Golden Shore, 6th Floor phone #: 562-991-4523
 Long Beach CA 90803
 (City) (State/Province) (Zip/Country)

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS*

* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Matthew Case (ID: 6394262)
- **Institution Affiliation:** California State University, Long Beach (ID: 2666)
- **Institution Email:** mcase@calstate.edu
- **Institution Unit:** College of Education

- **Curriculum Group:** Social and Behavioral Responsible Conduct of Research
- **Course Learner Group:** Same as Curriculum Group
- **Stage:** Stage 1 - RCR
- **Description:** This course is for investigators, staff and students with an interest or focus in **Social and Behavioral** research. This course contains text, embedded case studies AND quizzes.

- **Record ID:** 23524244
- **Completion Date:** 11-Jun-2017
- **Expiration Date:** 10-Jun-2021
- **Minimum Passing:** 80
- **Reported Score*:** 98

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
Export Controls and National Security (RCR) (ID: 14770)	11-Jun-2017	4/5 (80%)
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Jun-2017	5/5 (100%)
Mentoring (RCR-Basic) (ID: 16602)	11-Jun-2017	5/5 (100%)
Plagiarism (RCR-Basic) (ID: 15156)	11-Jun-2017	5/5 (100%)
Research Misconduct (RCR-Basic) (ID: 16604)	11-Jun-2017	5/5 (100%)
Data Management (RCR-Basic) (ID: 16600)	11-Jun-2017	5/5 (100%)
Authorship (RCR-Basic) (ID: 16597)	11-Jun-2017	5/5 (100%)
Peer Review (RCR-Basic) (ID: 16603)	11-Jun-2017	5/5 (100%)
Conflicts of Interest (RCR-Basic) (ID: 16599)	11-Jun-2017	5/5 (100%)
Collaborative Research (RCR-Basic) (ID: 16598)	11-Jun-2017	5/5 (100%)

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: www.citiprogram.org/verify/?k00dd521a-7ce2-4e52-b711-f1d73b884a78-23524244

Collaborative Institutional Training Initiative (CITI Program)

Email: support@citiprogram.org

Phone: 888-529-5929

Web: <https://www.citiprogram.org>

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 2 OF 2

COURSEWORK TRANSCRIPT**

** NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Matthew Case (ID: 6394262)
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- **Record ID:** 23524244
- **Report Date:** 11-Jun-2017
- **Current Score**:** 98

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Jun-2017	5/5 (100%)
Export Controls and National Security (RCR) (ID: 14770)	11-Jun-2017	4/5 (80%)
Plagiarism (RCR-Basic) (ID: 15156)	11-Jun-2017	5/5 (100%)
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Data Management (RCR-Basic) (ID: 16600)	11-Jun-2017	5/5 (100%)
Mentoring (RCR-Basic) (ID: 16602)	11-Jun-2017	5/5 (100%)
Peer Review (RCR-Basic) (ID: 16603)	11-Jun-2017	5/5 (100%)
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Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Deborah Fontaine

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education project

UNLV Protocol Number: 711238-13

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: Deborah L. Fontaine Date 10/9/2017

Name: Fontaine Deborah L. Degree(s): Ph.D.
(Last) (First) (Middle Initial)

Address: 2037 Kildare Circle phone #: 850-729-6451 (W)
Niceville FL 32578 850-803-0505 (C)
(City) (State/Province) (Zip/Country)

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS*

* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Deborah Fontaine (ID: 6697719)
- **Institution Affiliation:** University of Nevada, Las Vegas (ID: 418)
- **Institution Email:** fontaind@nwfsc.edu
- **Institution Unit:** TILT Project
- **Phone:** 8507296451

- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu

- **Record ID:** 24884446
- **Completion Date:** 08-Oct-2017
- **Expiration Date:** 07-Oct-2022
- **Minimum Passing:** 75
- **Reported Score*:** 93

REQUIRED AND ELECTIVE MODULES ONLY

	DATE COMPLETED	SCORE
History and Ethical Principles - SBE (ID: 490)	08-Oct-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	08-Oct-2017	5/5 (100%)
The Federal Regulations - SBE (ID: 502)	08-Oct-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	08-Oct-2017	5/5 (100%)
Informed Consent - SBE (ID: 504)	08-Oct-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	08-Oct-2017	4/5 (80%)
Research with Prisoners - SBE (ID: 506)	08-Oct-2017	5/5 (100%)
Research with Children - SBE (ID: 507)	08-Oct-2017	4/5 (80%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	08-Oct-2017	5/5 (100%)
International Research - SBE (ID: 509)	08-Oct-2017	4/5 (80%)
Internet-Based Research - SBE (ID: 510)	08-Oct-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	08-Oct-2017	4/4 (100%)
Conflicts of Interest in Human Subjects Research (ID: 17464)	08-Oct-2017	5/5 (100%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	08-Oct-2017	3/3 (100%)
UNLV (ID: 697)	08-Oct-2017	No Quiz

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

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Phone: 888-529-5929

Web: <https://www.citiprogram.org>

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT**

** NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Deborah Fontaine (ID: 6697719)
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- **Institution Email:** fontaind@unwfsc.edu
- **Institution Unit:** TILT Project
- **Phone:** 8507296451

- **Curriculum Group:** Human Research
- **Course Learner Group:** Group 2. Social/Behavioral IRB
- **Stage:** Stage 1 - Basic Course
- **Description:** If you have any questions regarding your requirements you may contact the UNLV OPRS by phone at 702.895.2794 or by email at OPRSHumanSubjects@unlv.edu

- **Record ID:** 24884446
- **Report Date:** 08-Oct-2017
- **Current Score**:** 93

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
History and Ethical Principles - SBE (ID: 490)	08-Oct-2017	4/5 (80%)
Defining Research with Human Subjects - SBE (ID: 491)	08-Oct-2017	5/5 (100%)
The Federal Regulations - SBE (ID: 502)	08-Oct-2017	5/5 (100%)
Assessing Risk - SBE (ID: 503)	08-Oct-2017	5/5 (100%)
Informed Consent - SBE (ID: 504)	08-Oct-2017	5/5 (100%)
Privacy and Confidentiality - SBE (ID: 505)	08-Oct-2017	4/5 (80%)
Research with Prisoners - SBE (ID: 506)	08-Oct-2017	5/5 (100%)
Research with Children - SBE (ID: 507)	08-Oct-2017	4/5 (80%)
Research in Public Elementary and Secondary Schools - SBE (ID: 508)	08-Oct-2017	5/5 (100%)
International Research - SBE (ID: 509)	08-Oct-2017	4/5 (80%)
Internet-Based Research - SBE (ID: 510)	08-Oct-2017	4/5 (80%)
Vulnerable Subjects - Research Involving Workers/Employees (ID: 483)	08-Oct-2017	4/4 (100%)
Avoiding Group Harms - U.S. Research Perspectives (ID: 14080)	08-Oct-2017	3/3 (100%)
UNLV (ID: 697)	08-Oct-2017	No Quiz
Conflicts of Interest in Human Subjects Research (ID: 17464)	08-Oct-2017	5/5 (100%)

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Web: <https://www.citiprogram.org>



Completion Date 08-Oct-2017
Expiration Date 07-Oct-2022
Record ID 24884446

This is to certify that:

Deborah Fontaine

Has completed the following CITI Program course:

Human Research (Curriculum Group)
Group 2. Social/Behavioral IRB (Course Learner Group)
1 - Basic Course (Stage)

Under requirements set by:

University of Nevada, Las Vegas



Verify at www.citiprogram.org/verify/?w5f29ec71-5780-4afe-a820-321210b2bed4-24884446



Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Stefanie Drew

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education

UNLV Protocol Number: 1305-4474

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
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Investigator Signature: Stefanie Drew Date 10/17/17

Name: Drew Stefanie A Degree(s): PhD
(Last) (First) (Middle Initial)

Address: 18111 Nordhoff St phone #: 818-3677-3503
Northridge Ca 91330-8255
(City) (State/Province) (Zip/Country)

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS*

* NOTE: Scores on this Requirements Report reflect quiz completions at the time all requirements for the course were met. See list below for details. See separate Transcript Report for more recent quiz scores, including those on optional (supplemental) course elements.

- **Name:** Stefanie Drew (ID: 6710728)
- **Institution Affiliation:** California State University, Northridge (ID: 2469)
- **Institution Email:** stefanie.drew@csun.edu
- **Institution Unit:** Psychology
- **Phone:** 8186773503

- **Curriculum Group:** Social and Behavioral Responsible Conduct of Research
- **Course Learner Group:** Same as Curriculum Group
- **Stage:** Stage 1 - RCR
- **Description:** This course is for investigators, staff and students with an interest or focus in **Social and Behavioral** research. This course contains text, embedded case studies AND quizzes.

- **Record ID:** 24919717
- **Completion Date:** 11-Oct-2017
- **Expiration Date:** N/A
- **Minimum Passing:** 80
- **Reported Score*:** 100

REQUIRED AND ELECTIVE MODULES ONLY	DATE COMPLETED	SCORE
California State University, Northridge (ID: 15174)	11-Oct-2017	No Quiz
Research Misconduct (RCR-Basic) (ID: 16604)	11-Oct-2017	5/5 (100%)
Data Management (RCR-Basic) (ID: 16600)	11-Oct-2017	5/5 (100%)
Authorship (RCR-Basic) (ID: 16597)	11-Oct-2017	5/5 (100%)
Peer Review (RCR-Basic) (ID: 16603)	11-Oct-2017	5/5 (100%)
Mentoring (RCR-Basic) (ID: 16602)	11-Oct-2017	5/5 (100%)
Using Animal Subjects in Research (RCR-Basic) (ID: 13301)	11-Oct-2017	5/5 (100%)
Conflicts of Interest (RCR-Basic) (ID: 16599)	11-Oct-2017	5/5 (100%)
Collaborative Research (RCR-Basic) (ID: 16598)	11-Oct-2017	5/5 (100%)
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Oct-2017	5/5 (100%)

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Verify at: www.citiprogram.org/verify/?kc2570950-3d1e-41c4-b858-43b29db3ceb2-24919717

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COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 2 OF 2 COURSEWORK TRANSCRIPT**

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- **Record ID:** 24919717
- **Report Date:** 11-Oct-2017
- **Current Score**:** 100

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
Using Animal Subjects in Research (RCR-Basic) (ID: 13301)	11-Oct-2017	5/5 (100%)
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Oct-2017	5/5 (100%)
California State University, Northridge (ID: 15174)	11-Oct-2017	No Quiz
Authorship (RCR-Basic) (ID: 16597)	11-Oct-2017	5/5 (100%)
Collaborative Research (RCR-Basic) (ID: 16598)	11-Oct-2017	5/5 (100%)
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Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Matthew Case

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education

UNLV Protocol Number: 1305-4474

UNLV PI Name: Mary-Ann Winkelmes

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Investigator Signature:  Date 10/19/17

Name: Case Matthew H Degree(s): BA, MPP, Ed.D student
(Last) (First) (Middle Initial)

Address: 401 Golden Shore, 6th Floor phone #: 562-991-4523
Long Beach CA 90803
(City) (State/Province) (Zip/Country)

COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 1 OF 2 COURSEWORK REQUIREMENTS*

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- **Record ID:** 23524244
- **Completion Date:** 11-Jun-2017
- **Expiration Date:** 10-Jun-2021
- **Minimum Passing:** 80
- **Reported Score*:** 98

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Collaborative Research (RCR-Basic) (ID: 16598)	11-Jun-2017	5/5 (100%)

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COLLABORATIVE INSTITUTIONAL TRAINING INITIATIVE (CITI PROGRAM)

COMPLETION REPORT - PART 2 OF 2

COURSEWORK TRANSCRIPT**

** NOTE: Scores on this Transcript Report reflect the most current quiz completions, including quizzes on optional (supplemental) elements of the course. See list below for details. See separate Requirements Report for the reported scores at the time all requirements for the course were met.

- **Name:** Matthew Case (ID: 6394262)
- **Institution Affiliation:** California State University, Long Beach (ID: 2666)
- **Institution Email:** mcase@calstate.edu
- **Institution Unit:** College of Education
- **Curriculum Group:** Social and Behavioral Responsible Conduct of Research
- **Course Learner Group:** Same as Curriculum Group
- **Stage:** Stage 1 - RCR
- **Description:** This course is for investigators, staff and students with an interest or focus in **Social and Behavioral** research. This course contains text, embedded case studies AND quizzes.
- **Record ID:** 23524244
- **Report Date:** 11-Jun-2017
- **Current Score**:** 98

REQUIRED, ELECTIVE, AND SUPPLEMENTAL MODULES	MOST RECENT	SCORE
Research Involving Human Subjects (RCR-Basic) (ID: 13566)	11-Jun-2017	5/5 (100%)
Export Controls and National Security (RCR) (ID: 14770)	11-Jun-2017	4/5 (80%)
Plagiarism (RCR-Basic) (ID: 15156)	11-Jun-2017	5/5 (100%)
Authorship (RCR-Basic) (ID: 16597)	11-Jun-2017	5/5 (100%)
Collaborative Research (RCR-Basic) (ID: 16598)	11-Jun-2017	5/5 (100%)
Conflicts of Interest (RCR-Basic) (ID: 16599)	11-Jun-2017	5/5 (100%)
Data Management (RCR-Basic) (ID: 16600)	11-Jun-2017	5/5 (100%)
Mentoring (RCR-Basic) (ID: 16602)	11-Jun-2017	5/5 (100%)
Peer Review (RCR-Basic) (ID: 16603)	11-Jun-2017	5/5 (100%)
Research Misconduct (RCR-Basic) (ID: 16604)	11-Jun-2017	5/5 (100%)

For this Report to be valid, the learner identified above must have had a valid affiliation with the CITI Program subscribing institution identified above or have been a paid Independent Learner.

Verify at: www.citiprogram.org/verify/?k00dd521a-7ce2-4e52-b711-f1d73b884a78-23524244

Collaborative Institutional Training Initiative (CITI Program)

Email: support@citiprogram.org

Phone: 888-529-5929

Web: <https://www.citiprogram.org>



Individual Investigator Assurance for Collaborative Exempt Research

Name of Institution with the Federalwide Assurance (FWA): The University of Nevada, Las Vegas

Applicable FWA #: FWA00002305

Individual Investigator's Name: Dan Richard

Specify Research Covered by this Agreement (title): Transparency in Learning and Teaching in Higher Education project

UNLV Protocol Number: 711238-13

UNLV PI Name: Mary-Ann Winkelmes

- (1) The above-named Individual Investigator has reviewed: 1) *The Belmont Report: Ethical Principles and Guidelines for the Protection of Human Subjects of Research* (or other internationally recognized equivalent and 2) the relevant UNLV institutional policies and procedures for the protection of human subjects.
- (2) The Investigator understands and hereby accepts the responsibility to comply with the standards and requirements stipulated in the above documents and to protect the rights and welfare of human subjects involved in research conducted under this assurance.
- (3) The Investigator will comply with all other applicable federal, international, state, and local laws, regulations, and policies that may provide additional protection for human subjects participating in research conducted under this assurance.
- (4) The Investigator will abide by all determinations of the UNLV ORI-HS/IRB and will accept the final authority and decisions of the exempt determination, including but not limited to directives to terminate participation in designated research activities.
- (5) The Investigator will complete any educational training required by the Institution and/or the ORI-HS/IRB prior to initiating research covered under this assurance.
- (6) The Investigator will report promptly to the UNLV ORI-HS any proposed changes in the research conducted under this assurance. The investigator will not initiate changes in the research without prior UNLV ORI-HS review, except where necessary to eliminate apparent immediate hazards to subjects.
- (7) The Investigator will report immediately to the UNLV ORI-HS any unanticipated problems involving risks to subjects or others in research covered under this assurance.

- (8) The Investigator acknowledges and agrees to cooperate with the UNLV ORI-HS requirement for initial review, record keeping, reporting, and certification for the research referenced above. The Investigator will provide all information requested by the UNLV ORI-HS in a timely fashion.
- (9) The Investigator will not enroll subjects in research under this assurance prior to its review and exempt determination by the UNLV ORI-HS/IRB.
- (10) This assurance does not preclude the Investigator from taking part in research not covered by this assurance.
- (11) The Investigator acknowledges that he/she is primarily responsible for safeguarding the rights and welfare of each research subject, and that the subject's rights and welfare must take precedence over the goals and requirements of the research.
- (12) The Investigator understands that this assurance may not fulfill his/her Institution's human subjects' policies and procedures when conducting human subject research. The Investigator should check with his/her Institution for guidance.

Investigator Signature: FD Richard Date 10/18/2017

Name: Richard, FRANCIS DANIEL Degree(s): Ph.D.
(Last) (First) (Middle Initial)

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