Meeting Minutes
Associate Deans for Research

Friday, February 20, 2015, 9:00-10:30 a.m.
SEB 2251

In Attendance: Jillian Inouye, Andrew Hardin, Jay Shen, Shannon Smith, Kimberly Kendricks, Connie Mobley, Helga Watkins, Andrew Hanson, Annette Day, Javier Rodriguez, Tara Emmers-Sommer, Tom Piechota, Stan Smith, David Paul, Zach Miles, Lori Olafson, Caleen Johnson, Monica Lounsbery, and Jill Zimbelman.

1. General Announcements/Updates
   a. Introduction of Kimberly Kendricks, STEM Coordinator/Proposal Writer
   b. Thank you for assisting Sue DiBella with collecting Carnegie data. The non-faculty researcher number has increased from 24 to 36 with your help.

2. Office of Undergraduate Research and Creative Scholarship (OUR-UNLV) – Liam Frink
   a. Liam presented the basic goals of OUR-UNLV
   b. General overview of program as resource and service support for undergraduates and faculty focus on campus wide integration - focus on STEM and also including/integrating all units primary early focus is to collect campus-wide data on undergraduate research and programs.

3. Centers/Institutes Working Group – Brian Hedlund (handout)
   a. This working group will provide a forum to discuss issues limiting the effectiveness and impact on Centers and Institutes at UNLV and possible solutions to those limitations.
   b. The group will meet the second Friday of each month, beginning March 13th from 11:00 a.m. - 12:00 p.m., in SU 205. The topic will be “Institutional support – funding and broader communication with the campus.”
   c. Please encourage participation of faculty directors of Centers and Institutes.

4. Knowledge Fund Update – Tom Piechota
   a. Current Knowledge Fund projects at UNLV:
      i. Nevada Institute of Personalized Medicine (NIPM)
      ii. Center for Gaming Innovation (CGI)
   b. Possible initiatives under consideration for Knowledge Fund 2.0:
      i. Hospitality Solutions Institute
      ii. Institute for Data Science
      iii. Institute for Radiological Studies
      iv. Institute for Advanced Materials
5. Conflict of Interest – Lori Olafson/Monica Lounsbery (presentation)
   a. Lori and Monica described the efforts of the Conflict of Interest Committee in
developing a PowerPoint that could be used for communication purposes across
campus.
   b. They are requesting the assistance of the ADRs to disseminate the information in
their units.

6. Spotlight on Research – Andrew Hanson (presentation)
   a. Nationally Competitive Awards

7. Volunteer for Spotlight on Research
   a. March 20 – Jillian Inouye (School of Nursing)
   b. April 17 – Annette Day (Libraries)

**Next Meeting:**
Friday, March 20, 2015, 9:00-10:30 a.m. in SEB 2251
Centers/Institutes Working Group

The Centers/Institutes Working Group will provide a forum to discuss issues limiting the effectiveness and impact of Centers and Institutes at UNLV and possible solutions to those limitations. The overarching goal is to raise the impact of UNLV’s Centers and Institutes for the mutual benefit of those centers, the UNLV campus community, and the people of Southern Nevada.

The Centers/Institutes Working Group will meet the second Friday of each month, beginning Friday, March 13, 11 am- 12 pm in Student Union 205.

Provisional topics
1. Institutional support - funding and broader communication with the campus
2. Institutional support - identifying barriers to success and collaboration and solving them
3. External funding opportunities - especially interdisciplinary RFPs that involve multiple centers
4. Internal collaborations (other UNLV centers, departments)
5. External collaborations (NSHE institutions, private, local, state and federal agencies)
6. Personnel: volunteers, undergraduate internships, other ideas
7. Presentations by center directors about their centers, resources, strengths and needs to discover possible synergy

Please encourage participation of faculty directors of Centers and Institutes.

Feedback and additional ideas are welcome, brian.hedlund@unlv.edu or 702-895-0809.
CONFLICT OF INTEREST and COMMITMENT

UNLV Conflict of Interest Committee
Spring 2015
Conflicts of Interest (Steneck, 2007)

• Legitimate research interests (advancing knowledge, making useful discoveries) can create competing responsibilities that may lead to conflicts of interest in three areas:

  • Financial gain
  • Work commitments
  • Intellectual and personal matters
Conflict of Commitment
(NSHE Title 4 Chapter 3 Section 8)

• Outside professional or scholarly service… shall not interfere with the performance of the duties of any faculty member
  • …shall occupy no more than one day’s equivalent time per work week (20%)

• 12-month contract employees – must take annual leave if providing outside service during the standard work week unless it is part of your UNLV contractual duties

• Arise from situations that place competing demands on researcher’s time and loyalties, such as working on funded projects, teaching and advising students, serving as a peer reviewer, working as a paid consultant (Steneck, 2007)
Financial Conflict of Interest

• **Financial Interest**: Anything of monetary value, including but not limited to: salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, copyrights and royalties from such rights).

• **Significant Financial Interest (SFI)**: A financial interest that reasonably appears to be related to the Investigator’s University responsibilities:

• **Financial Conflict of Interest (FCOI)**: A significant financial interest that could directly and significantly affect the design, conduct, or reporting of funded research or affect the performance of duties for or by any UNLV/NSHE employee.
Examples of Potential COIs

• External financial interests

• Consulting and other compensated professional/commercial activities

• Use of students/support staff on outside activities

• Use of University resources
“Try this—I just bought a hundred shares.”
UNLV’s COI Policy

• Applies to:
  
  • **All** full-time professional employees
  
  • Any research, education, administrative or service compensated outside activity

**Federally funded investigators are subject to additional requirements.**
Monitoring, Preventing, and Managing Conflicts of Interest is Required by Law

State Law
• NRS 281A.400-281A.410 – Code of Ethical Standards

NSHE requirements
As per:
• Title 4, Chapter 3, Section 8 – Compensated Outside Professional Services
• Title 4, Chapter 10, Section 1.7 – Conflicts of Interest Prohibited
• Title 4, Chapter 12, Sections 1-8 – Intellectual Property Policy

NSHE requires that UNLV monitor, prevent, and manage potential or actual conflicts of interests.
And, if you are federally funded…

- There are additional monitoring requirements
  - PIs must disclose the dollar amount of outside compensation
  - Required training for conflict of interest (PHS-funded PIs)
  - The institution, not the PI, determines whether or not a significant financial interest is a conflict of interest
Expertise can create opportunities…

October 25, 2009

**University Doctors Got Paid in Drug's Sales Campaign**

Fourteen university-affiliated physicians collected more than $400,000 from the makers of the anti-cholesterol drug Vytorin as part of a campaign to encourage the use of the medication. The 2008 campaign went on after an internal company study showed that the drug, with several billion dollars in sales, had little or no overall value for most patients.

The physicians, many of them prominent in the field of cardiovascular disease, accepted….
January 20, 2010

**Baylor College of Medicine Faces NIH Sanctions Over Financial Conflicts**

The National Institutes of Health has ordered tougher financial disclosures on all grant applications from Baylor College of Medicine, saying that an investigation has raised "serious concerns" about the college's compliance with regulations governing corporate conflicts of interest.

The NIH also asked the medical college, in Houston, to review all of its grant awards from the agency dating back to 2004 to reassess all financial relations between its scientists and outside drug companies.
UNLV Monitoring Procedures

• Pre-approval – for outside compensated activities representative of normal scholarly activity no approval is necessary prior to engaging the activity*
  • *If the activity disrupts professional obligations to UNLV (e.g., you will miss a class), you must have supervisor’s prior to agreeing to conduct the activity (see the missed class policy)

• Outside Activity Request
  • PDF w/electronic signature of your immediate supervisor
  • Complete request and obtain approval for EACH outside activity prior to engaging in the activity
  • Retain a copy of the signed request for your records

• COI Annual Disclosure
  • Electronic survey sent to individual employees
  • Basis for UNLV annual report to NSHE
  • Copy of the annual disclosure will be disseminated to the respondent and his/her immediate supervisor
COI Disclosure Process

Disclosure –
  Annual Disclosure
  Request for Outside Activity

Supervisor Review
  Approve
  Manage
  Deny

Management Plan

University Conflict of Interest Committee

Oversight
  Supervisor

Diagram:
- Disclosure
- Review
- Oversight
- Plan
- UCC

COI Disclosure Process

UCC
Conflict of Interest Committee

• Role of the Conflict of Interest Committee (COIC):
  • To review significant financial interests disclosed by federally funded investigators
  • To serve as the “review committee” (NSHE Code 4.3.8 (8)) to hear appeals from faculty members’ requests for outside activity that have been denied by a supervisor

• Purpose of the COIC review:
  • To determine if the investigator’s significant financial interest (SFI) is related to the funded research
    • The SFI could be affected by the funded research, or the SFI is an entity whose financial interests could be affected by the research
Nationally Competitive Awards

Dr. Andrew Hanson andrew.hanson@unlv.edu
Associate Dean, UNLV Honors College
What is a Nationally Competitive Award?

- Can be a:
  - Scholarship
  - Fellowship
  - All are awards
- Highly prestigious
- Highly competitive
Rhodes Scholarship

- Most famous, longest running; Oxford
- Competitive - 2%; Leaders - Clinton
- Minimum GPA - 3.7-3.8; <24 y.o.
- 2 yrs grad
- Deadline – early Oct
- Endorsement required – no assistance with Personal Statement
- US citizen; “5-8” LOR’s – but really it is 8
Gate-Cambridge Scholarship

- Bill Gates, Cambridge University
- Competitive - 5%
- Minimum GPA - ~3.8
- 2 yrs grad
- Deadline – three times/yr
- Endorsement not required - assistance with all parts of application
- Open to any citizenship, 2 LOR’s
Marshall Scholarship

- Several UK universities
- Competitive - <2%
- Minimum GPA - ~3.8
- 2-3 yrs grad
- Deadline early Oct.
- Endorsement required - assistance with all parts of application
- US only; 3 LOR’s
George Mitchell Scholarship

- Irish universities
- Competitive - 4%
- Minimum GPA - ~3.8
- 1 yr grad
- Deadline – same as Rhodes (must choose between them)
- Endorsement required – no assistance with Personal Statement
- US only; 4 LOR’s; required video interview
Truman Scholarship

- U.S. institutions; change agents
- Competitive – varies, NV<CA! Max 4/institution (WY, Guam issue)
- Minimum GPA - >3.0 + top 25% GPA within their college
- $30k for grad/Law school
- Deadline – early Feb
- Endorsement required - assistance with all parts of application
- US only; 3 LOR’s – must attest to specific things
Goldwater Scholarship

- Current university, all about STEM + academics/research
- Competitive - 25%
- Minimum GPA - ~3.9
- $7,500 Jr + Sr year
- Deadline early Feb.
- Endorsement required - assistance with all parts of application
- US only; 3 LOR’s
NSF Fellowship

- Any US university, all about STEM
- Competitive - 12%
- Minimum GPA - varies
- $32k/yr for 3 yrs, plus $10k to university
- Deadline varies by discipline – late Oct-mid-Nov.
- Endorsement not required - assistance with all parts of application
- US only; 3 LOR’s
Udall Scholarships

- Enviro; Native American/Alaska Native/First Nations
- Competitive – 6%, 50%
- Minimum GPA – >3.0
- Undergrad - $5000 Jr + Sr
- Early Mar. - deadline
- Endorsement required - assistance with all parts of application
- US or First Nation (Canada); 2 LOR’s
Others of Note

- Soros – “New Americans”
- Carnegie Junior Fellowship
  - Foreign Affairs focus
  - Required endorsement
- International Programs (ryan.larsen@unlv.edu)
- Fulbright – exchange, research, teaching English
- CLS – summer language immersion
Things to do

- Read their web sites
- Review past scholars profiles
- Build your resume now! Apply for little scholarships now.
- Prepare for LOR’s
- For any application:
  - Start months in advance
  - Review, edit, repeat
- Transcript requests
Closing thoughts

- Far too many students for far too few awards
- Think scholar development
- If you are a student, don’t be the one to reject you!
- Start early

Watch for NSF GRFP workshop – later this spring; joint HC/GC
Please send great students my way!