Pre-Licensing Financial & Background Investigations

November 15th-17th, 2016
8:00AM - 5:00PM

Participants will learn how to conduct in-depth financial and background investigations on gaming suppliers and vendors, principal individuals, and key employees. Participants will gain knowledge of financial principles, investigative tools, and investigative strategies which can be applied immediately to improve investigative processes.

This seminar is specifically designed for law enforcement, government, Tribal, and international regulators and staff who are responsible for supervising and conducting gaming licensing investigations on individuals and companies. This includes Commissioners, Board Members, Executive Directors, Licensing and Compliance Managers, Executive Assistants, auditors, and investigators. Seminar topics include:

- Financial Concepts for non-financial professionals
- Investigation of personal financial and background activity
- Financial and background investigation of gaming companies, suppliers, and vendors
- Interview techniques and written communication
- Investigation of foreign based individuals and companies
- How to assess risk and design investigative plans to reduce risk
- Investigative trends and issues in casinos today

Day 1 – Financial Analytical Tools: Especially beneficial for professionals not experienced in accounting or finance, the seminar presents discussion and exercises involving financial terminology, accounting systems, interpreting and analyzing financial statements, and gathering information from tax returns.

Days 2 & 3 – Financial and Background Investigative Techniques: Utilizing a case study approach, the seminar presents techniques for identifying and analyzing financial and background activity on both individuals and companies. Through interactive discussions and exercises, participants analyze actual events which have occurred in the gaming industry.

Instructors
Scott Otterstrom and John Flynn are former Deputy Chiefs with the Nevada Gaming Control Board. Together, John and Scott bring more than 50 years of experience in conducting and supervising complex financial and background investigations world-wide. For more than ten years, Scott and John have instructed investigative seminars at UNLV and for law enforcement and government organizations.

Program Details

Location: UNLV - International Gaming Institute,
Stan Fulton Building - Classroom 220, 401 E. Flamingo, Las Vegas, NV 89154
Fees: $1,300 for 3-day program
Registration: www.unlv.edu/icgr

Registration fees include: coursework materials, continental breakfast, lunch and afternoon refreshments on each day of the seminar. They do not include lodging, air or ground transportation, incidentals or any meals other than those listed above on the days of the seminar.