

UNLV Compliance Matrix

Compliance Area	Representative Issues	Responsible Department/Office
Accessibility	Accessibility for web and digital resources	<u>Office of Accessibility</u>
Accounting and Fiscal Management	Internal controls; accounting; financial reporting; travel; payment for expenditures	<u>Office of the Controller</u>
Accreditation	University accreditation	<u>Office of Academic Assessment</u>
Admissions	Privacy; recruitment, diversity; nondiscrimination	<u>Office of Admissions</u>
Advancement	Donor instructions; IRS rules on gifts/endowments; state regulations on solicitation and confidentiality of donors	<u>Philanthropy and Alumni Engagement</u>
Athletics	Gender equality (reporting and compliance); recruiting; records; NCAA compliance; protection of minors; consent and release forms	<u>Intercollegiate Athletics</u>
Budgeting and Financial Planning	Safeguarding the university's resources and assets; budgeting procedures and revisions	<u>Financial Planning, Budget & Analysis</u>
Conflict of Interest / Compensated Outside Services	Integrity, transparency, reporting, and policy enforcement	<u>Office of the Executive Vice President & Provost</u>
Contract Administration	Contract administration; compliance with relevant state contracting laws	<u>General Counsel</u>
Disability Accommodations (Employees)	Reasonable workplace accommodations	<u>Office of Equal Employment & Title IX</u>
Disability Accommodations (Students)	Academic accommodations for students	<u>Disability Resource Center</u>

Emergency Management	Emergency preparedness planning; continuity of operations	Police Services
Environmental Management & Laboratory Safety	Laboratory Safety program (research and instructional); regulation of hazardous materials (biological, chemical and radiological); Disposal of hazardous materials; Occupational Safety program	Risk Management & Safety
Equal Opportunity and Title IX	Discrimination in hiring/promoting practices; hostile work environment; Title IX compliance	Office of Equal Employment & Title IX
Export Control	Deemed Export, Physical Travel, and/or Shipment of Equipment, Data, Technology, Biological/ Chemical Materials to Foreign Country/Person; I129 ECO Certification; Export License determination and/or application for new hire, researcher and students participating in online courses from sanctioned Countries; TCPs; CITI Training	Office of Research Integrity
Facilities	Campus maintenance and operations; repair projects management	Facilities Management
Faculty Affairs	Appointments, reappointments, promotions, and tenure	Office of the Executive Vice President & Provost
Fees Management: Academic and course-related	Ensuring financial accountability and compliance with state and university guidelines	Office of Academic Resources
Financial Aid	Eligibility for Title IV funds; federal work study; federal and state awards and disbursements; reporting obligations	Financial Aid & Scholarships
Fire and Life Safety	Response and investigation of fires on university property; inspection of existing buildings and under construction for compliance with fire/life safety codes and standards	Risk Management & Safety
Grant Administration and Sponsored Programs	Pre and Post Award Requirements; Financial Reporting; Regulatory Review; Effort Reporting	Office of Sponsored Programs

Human Resources	Employment benefits; FMLA; USERRA; wage/hour; personnel policies; recruiting/hiring; training; termination and retirement; I-9 verification; grievances	Human Resources
Information Technology, Privacy, and Data Security	Privacy; identity theft; virus and hacking prevention	Office of Information Technology
International Students and Scholars	Visa status; eligibility for student employment; foreign scholars and students; visiting artists and lecturers	Office of International Student and Scholar Compliance
International Programs	Study Abroad, Fulbright U.S. Student Program, University Agreements, Global Initiatives	UNLV Global
Marketing and Communications	Trademarks management and Intellectual Property Use	Integrated Marketing and Branding
Mental Health	Mental health privacy and confidentiality	Counseling and Psychological Services
Planning & Construction	Capital level construction management; project development	Planning and Construction
Purchasing/Procurement	Contract administration; compliance state purchasing rules; procurement process	Purchasing & Contracts
Records	Record retention; litigation holds; response to Public Information Act requests; discovery requests	Public Affairs
Registrar	The Family Educational Rights Act (FERPA or the Buckley Amendment) protecting a student's right to privacy concerning their academic records and information	Office of the Registrar
Research Ethics/Compliance	Regulatory and ethical aspects of research involving: Human Subjects, Animal Research, Research Misconduct, Responsible Conduct of Research	Office of Research Integrity

Residential life	ADA/504 in housing, on-campus housing rules, educational programs	<u>Housing & Residential Life</u>
Risk Management, Insurance, and Claims	Property and liability insurance; Workers compensation; Occupational safety	<u>Risk Management & Safety</u>
Sexual Violence and Sexual Harassment	Sexual misconduct	<u>Office of Equal Employment & Title IX</u>
Student Conduct	Code of conduct, policy enforcement, and discipline	<u>Office of Student Rights & Responsibilities</u>
Student Health Services	Medical services and wellness programs	<u>Student Wellness Center</u>
Student Involvement	Policy enforcement and oversight of student organizations, fraternities and sororities	<u>Student Involvement & Activities</u>
Trademark & Licensing	Brand identity, use, and protection	<u>Intercollegiate Athletics</u>