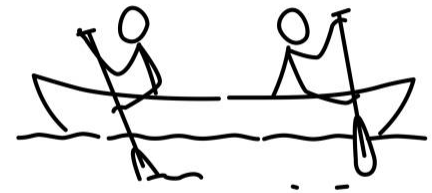


Conflict of Interest

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Vice Provost – Faculty Affairs

Conflict of Interest Committee Co-Chair



UNLV

Office of Faculty Affairs

Presentation Outline

- Conflict of Interest (COI) Definition & Types
- Managing COI at UNLV
- Reporting and Management Process
 - Faculty Responsibilities
- Resources and Support

Definition of COI

- A situation in which an individual's personal, financial, professional or other interests could *potentially* compromise their objectivity, impartiality, or decision-making in a way that may undermine the institution
 - Interests may be at odds with professional obligations and responsibilities

Types of Conflicts (1 of 2)

- **Financial:** involvement with entities that could gain financially from research outcomes
 - Holding significant financial interest in a company that sponsors your research (biased results, withholding unfavorable outcomes)
- **Personal:** holding opinions that could bias objectivity
 - Your partner or friend owns a company that could benefit from research results

Types of Conflicts (2 of 2)

- **Institutional:** entity holds financial or other interests that could compromise its objectivity, integrity or decision-making
 - Research funding relationships, commercial ventures, ties to regulatory bodies
- **Intellectual:** personal, professional or academic interests compromise objectivity, etc.
 - Serving on a grant review panel where applications are in a similar area of research (competitive funding)

Managing COI at UNLV (1 of 3)

- **Disclosure:** All faculty must complete COI disclosure whether or not conflicts exist
 - Annually in February
 - New faculty → **NOW** (check email for a link)
 - Report activity via [Outside Activity Report Form](#)
 - Requires Chair/Supervisor and Dean/VP approval
 - [Pre-Approved activities](#) need not be reported

Managing COI at UNLV (2 of 3)

- **Assessment:** UNLV screens every federally funded employee's disclosure
 - COI committee
 - Compliance with federal mandate
 - [Title 42 Code](#) of Federal Regulation Part 50 Subpart F
 - Compliance with NSHE Code & NRS
 - Title 4: Ch 3, Section 9; Ch 10, Section 1.7, Ch 12, Section, 1.8
 - NRS 281A.400-281A.410

Managing COI at UNLV (3 of 3)

- **Mitigation:** strategies implemented to minimize impact of objectivity
 - Execute/update Outside Activity Report Form
 - 9-month faculty: one day per week (20%)
 - 12-month faculty: annual leave
 - Develop and submit a [data management plan](#)

Resources and Support (1 of 2)

- UNLV [Conflict of Interest Webpage](#)
- Office of Research Integrity
 - [Responsible Conduct of Research Training](#)
- Educational Resources
 - [Collaborative Institutional Training Initiative](#)
 - COI [Basic Course](#)
 - COI [Refresher Course](#)

Resources and Support (2 of 2)

- **UNLV Division of Research**
 - [Office of Research Integrity](#)
- **UNLV Office of the Executive Vice President and Provost**
 - [Office of Faculty Affairs](#)

