

Meeting Minutes

Associate Deans for Research

Friday, April 15, 2016, 9:00-10:30 a.m.
SEB 2251

In Attendance: Jay Shen, Connie Mobley, Sharon Tettegah, Michael Tylo, Kendall Hartley, Andrew Hanson, Billy Bai, Annette Day, Javier Rodriguez, Tara Emmers-Sommer, Brian Hedlund, Lori Olafson, Liam Frink, David Paul, Stan Smith, Sue DiBella, Raegen Pietrucha, Carol Brodie, Caleen Johnson, Tom Piechota, and Jill Zimbelman

*Blue = Action Items

1. General Announcements/Updates – Tom Piechota
 - a. NSF Workshop is tentatively scheduled for this Fall and will be provided by NSF program officers – Sharon Tettegah
 - b. A national effort is being made to improve campus safety and a task force is being formed at UNLV. If you wish to volunteer for the task force, please contact Lori Olafson.
 - c. UNLV Proud of It: Billboard space was donated to advertise research at UNLV
 - d. UNLV Proud of It: 30 second video is posted on YouTube, view it here: <https://www.youtube.com/watch?v=IQzUbzdHEhs>
2. 3rd Quarter Reports – David Paul, Lori Olafson, & Zach Miles (reports attached)
3. Granting PI Status to FIRs – David Paul/Tom Piechota
 - a. Background (Principle Investigator Eligibility Policy): A Principle Investigator's responsibility includes the intellectual conduct of the project, fiscal accountability, administrative aspects, and the project's adherence to relevant policies and regulations.
 - b. Automatic PI Status: The policy of UNLV is that only full-time academic faculty members may routinely serve as PIs, including; professors, associate professors, assistant professors, library faculty, emeritus faculty, research professors, research associate professors, research assistant professors, and center directors.
 - c. Discussion regarding whether or not Faculty-In-Residence (FIRs) could be included and what that would mean across the university, the implications of workloads, etc.
4. Faculty Opportunity Awards Update – Brian Hedlund/Tom Piechota
 - a. Award and regret letters have been sent to individuals, except for the Center of Excellence awardees which are still being determined.
 - b. A campus-wide announcement will come out shortly with all awardees.
5. [Faculty Fellow for Research Office \(see attached\)](#) – Tom Piechota
 - a. This position is a one-year appointment (starting July or August 2016) with the potential of future renewals or appointments in research administration.

- b. The new position will assist with the following:
 - i. Serving as a liaison for the Vice President for Research and Economic Development on Top Tier committees dedicated to promoting research.
 - ii. Advancing research infrastructure issues, including the development of a plan for shared core facilities that support research across campus and are accessible to outside community partners.
 - iii. Developing policies and procedures that support the success of new university-level centers and institutes.
 - iv. Preparing and distributing information and administering review panels for the Faculty Opportunity Awards program.
 - v. Gathering information and preparing reports on federal funding priorities.
 - c. [Please distribute this information to your faculty.](#)
 - d. If you have any questions, please contact Brian Hedlund at 895-0809.
 - e. Please send letters of application and current CVs to Jill Zimbelman via email at jill.zimbelman@unlv.edu
 - f. Deadline to apply is May 10th.
6. Spotlight on Research Presentation – Caleen Johnson (presentation attached)
- a. Private Funding
7. Volunteers for Spotlight on Research
- a. May 20 – Annette Day, University Libraries
 - b. [June 17 – Volunteer Needed](#)

Next Associate Deans for Research Meeting:
Friday, May 20, 2016, 9:00-10:30 a.m., SEB 2251

OSP Quarterly Metrics

TO: Tom Piechota & Stan Smith
FROM: David Paul
DATE: 4/15/2016
SUBJECT: FY16 3rd Quarter Sponsored Program Activity

PROPOSALS FOR QUARTER		
	<u>Dollars</u>	<u>No.</u>
01/01/15 - 03/31/15	\$45,196,511	156
01/01/16 - 03/31/16	\$81,351,435	214
Total Change	36,154,924.00	58
Percent Change	80.0%	37.2%

CUMULATIVE PROPOSALS		
	<u>Dollars</u>	<u>No.</u>
07/01/14 - 03/31/15	\$158,695,527.00	437
07/01/15 - 03/31/16	\$174,152,765.00	506
Total Change	15,457,238.00	69
Percent Change	9.7%	15.8%

AWARDS FOR QUARTER		
	<u>Dollars</u>	<u>No.</u>
01/01/15 - 03/31/15	\$5,873,789	72
01/01/16 - 03/31/16	\$7,942,035	100
Total Change	2,068,246.00	28
Percent Change	35.2%	38.9%

CUMULATIVE AWARDS		
	<u>Dollars</u>	<u>No.</u>
07/01/14 - 03/31/15	\$38,691,641	264
07/01/15 - 03/31/16	\$40,468,009	291
Total Change	1,776,368.00	27
Percent Change	4.6%	10.2%

TOTAL EXPENDITURES FOR QUARTER	
	<u>Dollars</u>
01/01/15 - 03/31/15	\$10,654,793.36
01/01/16 - 03/31/16	\$11,665,697.24
Total Change	1,010,903.88
Percent Change	9.5%

CUMULATIVE TOTAL EXPENDITURES	
	<u>Dollars</u>
07/01/14 - 03/31/15	\$35,803,926.99
07/01/15 - 03/31/16	\$36,061,899.64
Total Change	257,972.65
Percent Change	0.7%

RESEARCH EXPENDITURES FOR QUARTER	
	<u>Dollars</u>
01/01/15 - 03/31/15	\$6,261,934.95
01/01/16 - 03/31/16	\$6,854,666.35
Total Change	592,731.40
Percent Change	9.5%

CUMULATIVE RESEARCH EXPENDITURES	
	<u>Dollars</u>
07/01/14 - 03/31/15	\$22,785,251.20
07/01/15 - 03/31/16	\$22,644,449.97
Total Change	(140,801.23)
Percent Change	-0.6%

RECOVERED F&A FOR QUARTER	
	<u>Dollars</u>
01/01/15 - 03/31/15	\$1,497,412.62
01/01/16 - 03/31/16	\$1,640,864.92
Total Change	143,452.30
Percent Change	9.6%

CUMULATIVE F&A	
	<u>Dollars</u>
07/01/14 - 03/31/15	\$5,200,035.47
07/01/15 - 03/31/16	\$5,495,592.30
Total Change	295,556.83
Percent Change	5.7%

Office of Sponsored Programs
For Period: 3rd Qtr, January - March

PROPOSALS FY16

AGENCY NAME	CURRENT QUARTER						CUMULATIVE TOTALS					
	Proposals FY15	Proposals FY16	Total \$ Change	# FY15	# FY16	Tot Change	Proposals FY15	Proposals FY16	Total \$ Change	# FY15	# FY16	Tot Change
LEE BUSINESS SCHOOL	\$104,390	\$140,363	\$35,973	2	2	0	\$653,695	\$958,437	\$304,742	9	8	(1)
COLLEGE OF EDUCATION	\$207,958	\$1,723,516	\$1,515,558	2	11	9	\$8,511,908	\$10,091,566	\$1,579,658	23	41	18
COLLEGE OF ENGINEERING	\$7,543,193	\$14,793,173	\$7,249,980	42	52	10	\$22,959,922	\$33,457,715	\$10,497,793	118	135	17
COLLEGE OF FINE ARTS	\$160,587	\$295,275	\$134,688	3	5	2	\$261,337	\$416,995	\$155,658	8	7	(1)
COLLEGE OF HOTEL ADMINISTRATION	\$0	\$14,128	\$14,128	0	1	1	\$116,000	\$130,172	\$14,172	2	3	1
COLLEGE OF LIBERAL ARTS	\$1,563,536	\$2,344,852	\$781,316	13	12	(1)	\$8,963,904	\$5,485,822	(\$3,478,082)	22	28	6
COLLEGE OF SCIENCES	\$12,412,024	\$11,966,118	(\$445,906)	44	64	20	\$36,376,463	\$34,463,518	(\$1,912,945)	126	124	(2)
COLLEGE OF URBAN AFFAIRS	\$634,896	\$1,079,274	\$444,378	4	6	2	\$2,565,907	\$2,204,147	(\$361,760)	9	11	2
DIVISION OF HEALTH SCIENCES	\$14,335,217	\$20,550,556	\$6,215,339	35	37	2	\$25,786,666	\$38,267,348	\$12,480,682	79	88	9
DIV of EDUCATIONAL OUTREACH	\$0	\$0	\$0	0	0	0	\$41,991	\$249,966	\$207,975	3	1	(2)
HONORS COLLEGE	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
LAW SCHOOL	\$103,000	\$104,000	\$1,000	1	1	0	\$192,780	\$104,000	(\$88,780)	3	1	(2)
SCHOOL OF MEDICINE	\$0	\$0	\$0	0	0	0	\$0	\$2,794,835	\$2,794,835	0	1	1
PRESIDENT'S OFFICE (Lincy Institute)	\$0	\$313,457	\$313,457	0	1	1	\$156,651	\$583,527	\$426,876	1	4	3
PROVOST	\$50,000	\$1,994,331	\$1,944,331	1	1	0	\$2,784,505	\$2,449,932	(\$334,573)	7	6	(1)
VP FOR RESEARCH & ECON DEV	\$2,881,025	\$13,009,202	\$10,128,177	4	4	0	\$3,484,275	\$14,383,854	\$10,899,579	7	12	5
HARRY REID CENTER	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
VP STUDENT AFFAIRS	\$407,645	\$1,360,390	\$952,745	2	6	4	\$431,405	\$1,386,390	\$954,985	3	7	4
ACADEMIC ENRICHMENT & OUTREACH	\$4,793,040	\$11,343,700	\$6,550,660	3	9	6	\$45,363,949	\$25,235,976	(\$20,127,973)	15	20	5
STUDENT FINANCIAL SERVICES	\$0	\$0	\$0	0	0	0	\$0	\$911,917	\$911,917	0	3	3
VP FOR FINANCE & BUSINESS	\$0	\$11,622	\$11,622	0	1	1	\$4,169	\$17,448	\$13,279	1	2	1
VP FOR DIVERSITY	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
UNIVERSITY LIBRARIES	\$0	\$307,478	\$307,478	0	1	1	\$40,000	\$559,200	\$519,200	1	4	3
GRAND TOTAL:	\$45,196,511	\$81,351,435	\$36,154,924	156	214	58	\$158,695,527	\$174,152,765	\$15,457,238	437	506	69

PERCENTAGE CHANGE:

DOLLARS
3rd QTR: FY15 & FY16
80.0%

NUMBERS
3rd QTR: FY15 & FY16
37%

TOTAL DOLLARS
3rd QTR: FY15 & FY16
9.7%

NUMBERS
3rd QTR: FY15 & FY16
15.8%

DEPARTMENT BREAKDOWN

For Period: 3rd Qtr, January - March

PROPOSALS BY DEPARTMENT FY16												
	CURRENT QUARTER						CUMULATIVE TOTALS					
DEPARTMENT NAME	Proposals FY15	Proposals FY16	Total \$ Change	# FY15	# FY16	Tot Change	Proposals FY15	Proposals FY16	Total \$ Change	# FY15	# FY16	Tot Change
LEE BUSINESS SCHOOL												
Dean's Office	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Accounting	\$4,390	\$0	(\$4,390)	1	0	(1)	\$15,565	\$10,400	(\$5,165)	2	1	(1)
Economics	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Finance	\$0	\$0	\$0	0	0	0	\$174,557	\$85,549	(\$89,008)	1	1	0
Ctr for Business & Economic Research	\$100,000	\$100,000	\$0	1	1	0	\$113,339	\$109,000	(\$4,339)	3	3	0
Lied Institute for Real Estate Studies	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Management, Entrepreneurship & Tech	\$0	\$40,363	\$40,363	0	1	1	\$350,234	\$753,488	\$403,254	3	3	0
Management Information Systems	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
COLLEGE OF EDUCATION												
Dean's Office	\$161,649	\$0	(\$161,649)	1	0	(1)	\$161,649	\$0	(\$161,649)	1	0	(1)
Educational & Clinical Studies	\$46,309	\$75,000	\$28,691	1	1	0	\$5,057,299	\$2,656,788	(\$2,400,511)	10	9	(1)
Educational Psychology & Higher Ed	\$0	\$562,965	\$562,965	0	3	3	\$504,980	\$1,956,770	\$1,451,790	3	10	7
Teaching & Learning	\$0	\$1,085,551	\$1,085,551	0	7	7	\$2,787,980	\$5,478,008	\$2,690,028	9	22	13
COLLEGE OF ENGINEERING												
Dean's Office	\$89,031	\$24,238	(\$64,793)	2	2	0	\$247,561	\$2,658,924	\$2,411,363	3	9	6
Civil & Environmental	\$146,652	\$6,025,491	\$5,878,839	2	13	11	\$1,471,813	\$7,899,240	\$6,427,427	12	27	15
Elec & Comp Engineering	\$764,272	\$1,866,181	\$1,101,909	8	3	(5)	\$2,406,249	\$5,243,325	\$2,837,076	21	16	(5)
Mechanical Engineering	\$4,367,506	\$4,662,455	\$294,949	21	20	(1)	\$14,669,626	\$12,976,033	(\$1,693,593)	61	54	(7)
Computer Science	\$2,089,859	\$1,658,891	(\$430,968)	7	7	0	\$2,527,411	\$3,823,859	\$1,296,448	9	16	7
Transportation Research Ctr	\$85,873	\$555,917	\$470,044	2	7	5	\$1,637,262	\$856,334	(\$780,928)	12	13	1
COLLEGE OF FINE ARTS												
Art	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Dance	\$0	\$0	\$0	0	0	0	\$0	\$99,600	\$99,600	0	1	1
Music	\$6,500	\$0	(\$6,500)	1	0	(1)	\$8,250	\$0	(\$8,250)	3	0	(3)
School of Architecture	\$154,087	\$295,275	\$141,188	2	5	3	\$253,087	\$317,395	\$64,308	5	6	1
Theatre	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
COLLEGE OF HOTEL ADMINISTRATION												
Dean's Office	\$0	\$14,128	\$14,128	0	1	1	\$0	\$30,172	\$30,172	0	2	2
International Gaming Institute	\$0	\$0	\$0	0	0	0	\$116,000	\$100,000	(\$16,000)	2	1	(1)
COLLEGE OF LIBERAL ARTS												
Anthropology	\$149,817	\$64,978	(\$84,839)	4	1	(3)	\$149,817	\$90,504	(\$59,313)	4	3	(1)
English	\$0	\$19,679	\$19,679	0	1	1	\$0	\$19,679	\$19,679	0	1	1
History	\$18,747	\$149,013	\$130,266	1	2	1	\$18,747	\$572,381	\$553,634	1	4	3
Political Science	\$86,038	\$290,666	\$204,628	1	2	1	\$86,038	\$290,666	\$204,628	1	2	1
Psychology	\$1,303,934	\$1,815,516	\$511,582	6	5	(1)	\$8,704,302	\$4,416,245	(\$4,288,057)	15	16	1
Sociology	\$0	\$0	\$0	0	0	0	\$0	\$91,347	\$91,347	0	1	1
Black Mtn Institute	\$5,000	\$5,000	\$0	1	1	0	\$5,000	\$5,000	\$0	1	1	0

DEPARTMENT BREAKDOWN

For Period: 3rd Qtr, January - March

PROPOSALS BY DEPARTMENT FY16												
DEPARTMENT NAME	CURRENT QUARTER						CUMULATIVE TOTALS					
	Proposals FY15	Proposals FY16	Total \$ Change	# FY15	# FY16	Tot Change	Proposals FY15	Proposals FY16	Total \$ Change	# FY15	# FY16	Tot Change
COLLEGE OF SCIENCES												
Dean's Office	\$35,888	\$0	(\$35,888)	1	0	(1)	\$35,888	\$65,379	\$29,491	1	2	1
Chemistry	\$2,877,776	\$5,134,422	\$2,256,646	8	22	14	\$7,234,017	\$11,002,147	\$3,768,130	22	37	15
Geoscience	\$3,830,776	\$633,812	(\$3,196,964)	12	9	(3)	\$8,494,127	\$2,983,667	(\$5,510,460)	31	19	(12)
Mathematical Sciences	\$6,000	\$352,536	\$346,536	1	1	0	\$3,847,195	\$854,724	(\$2,992,471)	7	4	(3)
Physics & Astronomy	\$2,837,300	\$3,061,324	\$224,024	13	17	4	\$6,983,347	\$7,544,502	\$561,155	34	26	(8)
School of Life Sciences	\$2,824,284	\$2,784,024	(\$40,260)	9	15	6	\$9,781,889	\$12,013,099	\$2,231,210	31	36	5
COLLEGE OF URBAN AFFAIRS												
Communication Studies	\$0	\$0	\$0	0	0	0	\$10,800	\$0	(\$10,800)	1	0	(1)
Criminal Justice	\$634,896	\$797,345	\$162,449	4	5	1	\$651,339	\$1,087,149	\$435,810	5	7	2
Journalism & Media Studies	\$0	\$0	\$0		0	0	\$0	\$0	\$0	0	0	0
KUNV	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Marriage & Family Therapy	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
School of Env & Public Affairs	\$0	\$281,929	\$281,929	0	1	1	\$14,269	\$695,211	\$680,942	1	3	2
School of Social Work	\$0	\$0	\$0	0	0	0	\$1,889,499	\$421,787	(\$1,467,712)	2	1	(1)
DIVISION OF HEALTH SCIENCES												
School of Allied Health Sciences	\$7,624,476	\$2,614,565	(\$5,009,911)	10	8	(2)	\$8,456,642	\$6,543,852	(\$1,912,790)	16	17	1
School of Community Health Sciences	\$3,937,086	\$17,905,991	\$13,968,905	21	28	7	\$12,286,406	\$26,459,094	\$14,172,688	50	54	4
School of Dental Medicine	\$2,415,178	\$0	(\$2,415,178)	2	0	(2)	\$2,483,178	\$69,700	(\$2,413,478)	3	3	0
School of Nursing	\$358,477	\$30,000	(\$328,477)	2	1	(1)	\$2,560,440	\$5,194,702	\$2,634,262	10	14	4
DIV of EDUCATIONAL OUTREACH												
VP for Ed Outreach	\$0	\$0	\$0	0	0	0	\$0	\$249,966	\$249,966	0	1	1
Public Lands Institue	\$0	\$0	\$0	0	0	0	\$41,991	\$0	(\$41,991)	3	0	(3)
VP STUDENT AFFAIRS												
Ctr for Academic Enrichment & Outreach	\$4,793,040	\$11,343,700	\$6,550,660	3	9	6	\$45,363,949	\$25,235,976	(\$20,127,973)	15	20	5
Financial Aid & Scholarships	\$0	\$0	\$0	0	0	0	\$0	\$860,000	\$860,000	0	1	1
Jean Nidetch Women's Ctr	\$405,645	\$268,008	(\$137,637)	1	2	1	\$405,645	\$281,769	(\$123,876)	1	3	2
Office of Admissions	\$2,000	\$0	(\$2,000)	1	0	(1)	\$25,760	\$26,000	\$240	2	1	(1)
Public Safety	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Student Counseling & Psychological Svcs	\$0	\$0	\$0	0	0	0	\$0	\$38,156	\$38,156	0	1	1
Wellness Promotion	\$0	\$1,092,382	\$1,092,382	0	4	4	\$0	\$1,092,382	\$1,092,382	0	4	4
Veterans Services	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0

Office of Sponsored Programs
For Period: 3rd Qtr, January - March

AWARDS FY16

AGENCY NAME	CURRENT QUARTER						CUMULATIVE TOTALS					
	Awards FY15	Awards FY16	Total \$ Change	# FY15	# FY16	Tot Change	Awards FY15	Awards FY16	Total \$ Change	# FY15	# FY16	Tot Change
LEE BUSINESS SCHOOL	\$100,000	\$167,200	\$67,200	1	2	1	\$346,105	\$272,149	(\$73,956)	8	6	(2)
COLLEGE OF EDUCATION	\$444,979	\$305,667	(\$139,312)	4	3	(1)	\$1,419,613	\$1,931,853	\$512,240	16	19	3
COLLEGE OF ENGINEERING	\$842,121	\$865,708	\$23,587	22	20	(2)	\$7,333,654	\$6,478,977	(\$854,677)	69	68	(1)
COLLEGE OF FINE ARTS	\$47,187	\$295,275	\$248,088	1	5	4	\$146,937	\$321,268	\$174,331	5	7	2
COLLEGE OF HOTEL ADMINISTRATION	\$0	\$14,128	\$14,128	0	1	1	\$116,000	\$130,172	\$14,172	2	3	1
COLLEGE OF LIBERAL ARTS	\$265,993	\$35,554	(\$230,439)	4	2	(2)	\$360,986	\$625,109	\$264,123	6	12	6
COLLEGE OF SCIENCES	\$1,231,835	\$2,203,899	\$972,064	20	34	14	\$10,429,720	\$9,029,558	(\$1,400,162)	82	74	(8)
COLLEGE OF URBAN AFFAIRS	\$486,867	\$190,838	(\$296,029)	2	2	0	\$1,105,966	\$1,701,506	\$595,540	8	8	0
DIVISION OF HEALTH SCIENCES	\$2,152,307	\$443,544	(\$1,708,763)	15	18	3	\$5,918,614	\$3,510,132	(\$2,408,482)	39	46	7
DIV of EDUCATIONAL OUTREACH	\$0	\$0	\$0	0	0	0	\$12,000	\$0	(\$12,000)	2	0	(2)
HONORS COLLEGE	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
LAW SCHOOL	\$103,000	\$104,000	\$1,000	1	1	0	\$192,780	\$143,780	(\$49,000)	3	2	(1)
SCHOOL OF MEDICINE	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
PRESIDENT'S OFFICE (Lincy Institute)	\$0	\$0	\$0	0	0	0	\$337,605	\$667,741	\$330,136	2	3	1
PROVOST	\$0	\$0	\$0	0	0	0	\$377,205	\$312,976	(\$64,229)	5	4	(1)
VP FOR RESEARCH & ECON DEV	\$197,500	\$2,039,280	\$1,841,780	1	4	3	\$197,500	\$3,008,192	\$2,810,692	1	11	10
HARRY REID CENTER	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
VP STUDENT AFFAIRS	\$2,000	\$1,135,720	\$1,133,720	1	6	5	\$97,580	\$1,335,720	\$1,238,140	3	9	6
ACADEMIC ENRICHMENT & OUTREACH	\$0	\$0	\$0	0	0	0	\$9,128,306	\$9,933,672	\$805,366	11	13	2
STUDENT FINANCIAL SERVICES	\$0	\$0	\$0	0	0	0	\$860,000	\$918,156	\$58,156	1	3	2
VP FOR FINANCE & BUSINESS	\$0	\$11,622	\$11,622	0	1	1	\$0	\$17,448	\$17,448	0	2	2
VP FOR DIVERSITY	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
UNIVERSITY LIBRARIES	\$0	\$129,600	\$129,600	0	1	1	\$311,070	\$129,600	(\$181,470)	1	1	0
GRAND TOTAL:	\$5,873,789	\$7,942,035	\$2,068,246	72	100	28	\$38,691,641	\$40,468,009	\$1,776,368	264	291	27

PERCENTAGE CHANGE:

DOLLARS
3rd QTR: FY15 & FY16
35.2%

NUMBERS
3rd QTR: FY15 & FY16
38.9%

TOTAL DOLLARS
3rd QTR: FY15 & FY16
4.6%

NUMBERS
3rd QTR: FY15 & FY16
10.2%

DEPARTMENT BREAKDOWN

For Period: 3rd Qtr, January - March

AWARDS BY DEPARTMENT FY16

DEPARTMENT NAME	CURRENT QUARTER						CUMULATIVE TOTALS					
	Awards FY15	Awards FY16	Total \$ Change	# FY15	# FY16	Tot Change	Awards FY15	Awards FY16	Total \$ Change	# FY15	# FY16	Tot Change
LEE BUSINESS SCHOOL												
Dean's Office	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Accounting	\$0	\$0	\$0	0	0	0	\$11,175	\$10,400	(\$775)	1	1	0
Economics	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Finance	\$0	\$0	\$0	0	0	0	\$83,009	\$85,549	\$2,540	1	1	0
Ctr for Business & Economic Research	\$100,000	\$100,000	\$0	1	1	0	\$113,339	\$109,000	(\$4,339)	3	3	0
Lied Institute for Real Estate Studies	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Management, Entrepreneurship & Tech	\$0	\$67,200	\$67,200	0	1	1	\$138,582	\$67,200	(\$71,382)	3	1	(2)
Management Information Systems	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
COLLEGE OF EDUCATION												
Dean's Office	\$161,649	\$0	(\$161,649)	1	0	(1)	\$161,649	\$0	(\$161,649)	1	0	(1)
Educational & Clinical Studies	\$134,343	\$0	(\$134,343)	1	0	(1)	\$723,706	\$707,986	(\$15,720)	8	6	(2)
Educational Psychology & Higher Ed	\$0	\$2,000	\$2,000	0	1	1	\$176,372	\$219,708	\$43,336	2	5	3
Teaching & Learning	\$148,987	\$303,667	\$154,680	2	2	0	\$357,886	\$1,004,159	\$646,273	5	8	3
COLLEGE OF ENGINEERING												
Dean's Office	\$89,031	\$24,976	(\$64,055)	2	3	1	\$1,342,424	\$71,043	(\$1,271,381)	3	5	2
Civil & Environmental	\$23,701	\$42,776	\$19,075	1	4	3	\$206,662	\$733,961	\$527,299	4	8	4
Elec & Comp Engineering	\$132,330	\$70,000	(\$62,330)	3	1	(2)	\$347,588	\$393,813	\$46,225	8	8	0
Mechanical Engineering	\$423,381	\$640,456	\$217,075	10	10	0	\$4,015,687	\$4,844,529	\$828,842	37	36	(1)
Computer Science	\$84,805	\$25,000	(\$59,805)	4	1	(3)	\$584,500	\$156,758	(\$427,742)	7	4	(3)
Transportation Research Ctr	\$85,873	\$62,500	(\$23,373)	2	1	(1)	\$833,793	\$278,873	(\$554,920)	10	7	(3)
COLLEGE OF FINE ARTS												
Art	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Dance	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Music	\$0	\$0	\$0	0	0	0	\$750	\$3,873	\$3,123	1	1	0
School of Architecture	\$47,187	\$295,275	\$248,088	1	5	4	\$146,187	\$317,395	\$171,208	4	6	2
Theatre	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
COLLEGE OF HOTEL ADMINISTRATION												
Dean's Office	\$0	\$14,128	\$14,128	0	1	1	\$0	\$30,172	\$30,172	0	2	2
International Gaming Institute	\$0	\$0	\$0	0	0	0	\$116,000	\$100,000	(\$16,000)	2	1	(1)
COLLEGE OF LIBERAL ARTS												
Anthropology	\$0	\$0	\$0	0	0	0	\$89,993	\$46,801	(\$43,192)	1	3	2
English	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
History	\$0	\$25,554	\$25,554	0	1	1	\$0	\$44,301	\$44,301	0	2	2
Political Science	\$86,038	\$0	(\$86,038)	1	0	(1)	\$86,038	\$0	(\$86,038)	1	0	(1)
Psychology	\$179,955	\$10,000	(\$169,955)	3	1	(2)	\$179,955	\$530,107	\$350,152	3	6	3
Sociology	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Black Mtn Institute	\$0	\$0	\$0	0	0	0	\$5,000	\$3,900	(\$1,100)	1	1	0

DEPARTMENT BREAKDOWN

For Period: 3rd Qtr, January - March

AWARDS BY DEPARTMENT FY16

DEPARTMENT NAME	CURRENT QUARTER						CUMULATIVE TOTALS					
	Awards FY15	Awards FY16	Total \$ Change	# FY15	# FY16	Tot Change	Awards FY15	Awards FY16	Total \$ Change	# FY15	# FY16	Tot Change
COLLEGE OF SCIENCES												
Dean's Office	\$35,888	\$0	(\$35,888)	1	0	(1)	\$282,330	\$65,379	(\$216,951)	2	2	0
Chemistry	\$400,831	\$470,052	\$69,221	3	5	2	\$2,237,287	\$3,359,528	\$1,122,241	11	19	8
Geoscience	\$512,244	\$144,604	(\$367,640)	6	4	(2)	\$3,705,306	\$2,257,927	(\$1,447,379)	23	8	(15)
Mathematical Sciences	\$0	\$0	\$0	0	0	0	\$142,430	\$0	(\$142,430)	3	0	(3)
Physics & Astronomy	\$213,072	\$1,123,284	\$910,212	6	14	8	\$1,869,596	\$1,611,169	(\$258,427)	23	21	(2)
School of Life Sciences	\$69,800	\$465,959	\$396,159	4	11	7	\$2,162,771	\$1,735,555	(\$427,216)	20	24	4
COLLEGE OF URBAN AFFAIRS												
Communication Studies	\$0	\$0	\$0	0	0	0	\$10,800	\$0	(\$10,800)	1	0	(1)
Criminal Justice	\$6,912	\$190,838	\$183,926	1	2	1	\$150,942	\$999,437	\$848,495	4	5	1
Journalism & Media Studies	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
KUNV	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Marriage & Family Therapy	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
School of Env & Public Affairs	\$0	\$0	\$0	0	0	0	\$14,269	\$280,282	\$266,013	1	2	1
School of Social Work	\$479,955	\$0	(\$479,955)	1	0	(1)	\$929,955	\$421,787	(\$508,168)	2	1	(1)
DIVISION OF HEALTH SCIENCES												
School of Allied Health Sciences	\$21,999	\$15,005	(\$6,994)	2	2	0	\$357,378	\$553,846	\$196,468	5	6	1
School of Community Health Sciences	\$2,130,308	\$384,920	(\$1,745,388)	13	14	1	\$5,451,150	\$2,547,667	(\$2,903,483)	31	36	5
School of Dental Medicine	\$0	\$0	\$0	0	0	0	\$110,086	\$15,000	(\$95,086)	3	1	(2)
School of Nursing	\$0	\$43,619	\$43,619	0	2	2	\$0	\$393,619	\$393,619	0	3	3
DIV of EDUCATIONAL OUTREACH												
VP for Educational Outreach	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Public Lands Institute	\$0	\$0	\$0	0	0	0	\$12,000	\$0	(\$12,000)	2	0	(2)
VP STUDENT AFFAIRS												
Ctr for Academic Enrichment & Outreach	\$0		\$0	0	0	0	\$9,128,306	\$9,933,672	\$805,366	11	13	2
Financial Aid & Scholarships	\$0	\$0	\$0	0	0	0	\$860,000	\$860,000	\$0	1	1	0
Jean Nidetch Women's Ctr	\$0	\$43,238	\$43,238	0	2	2	\$0	\$143,238	\$143,238	0	3	3
Office of Admissions	\$2,000	\$0	(\$2,000)	1	0	(1)	\$97,580	\$100,000	\$2,420	3	2	(1)
Public Safety	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0
Student Counseling & Psychological Svcs	\$0	\$0	\$0	0	0	0	\$0	\$58,156	\$58,156	0	2	2
Wellness Promotion	\$0	\$1,092,482	\$1,092,482	0	4	4	\$0	\$1,092,482	\$1,092,482	0	4	4
Veterans Services	\$0	\$0	\$0	0	0	0	\$0	\$0	\$0	0	0	0

Office of Sponsored Programs
For Period: 3rd Qtr, January - March

TOTAL OSP EXPENDITURES FY 16

AGENCY NAME	CURRENT QUARTER			CUMULATIVE TOTALS		
	Total Expenditures FY 15	Total Expenditures FY 16	Total \$ Change	Total Expenditures FY 15	Total Expenditures FY 16	Total \$ Change
LEE BUSINESS SCHOOL	\$59,606.15	\$58,374.88	(\$1,231.27)	\$197,924.74	\$176,081.60	(\$21,843.14)
COLLEGE OF EDUCATION	\$253,709.52	\$366,770.80	\$113,061.28	\$1,081,956.96	\$1,221,084.92	\$139,127.96
COLLEGE OF ENGINEERING	\$2,381,216.04	\$1,680,680.32	(\$700,535.72)	\$7,878,521.97	\$6,975,059.08	(\$903,462.89)
COLLEGE OF FINE ARTS	\$5,902.61	\$18,735.87	\$12,833.26	\$111,105.93	\$110,756.45	(\$349.48)
COLLEGE OF HOTEL ADMINISTRATION	\$97,514.10	\$246,033.57	\$148,519.47	\$544,220.83	\$498,700.57	(\$45,520.26)
COLLEGE OF LIBERAL ARTS	\$276,405.54	\$188,322.01	(\$88,083.53)	\$836,034.97	\$693,261.13	(\$142,773.84)
COLLEGE OF SCIENCES	\$2,318,726.58	\$2,828,958.50	\$510,231.92	\$8,111,117.36	\$8,491,624.74	\$380,507.38
COLLEGE OF URBAN AFFAIRS	\$128,813.24	\$174,644.72	\$45,831.48	\$407,406.14	\$890,176.07	\$482,769.93
DIVISION OF HEALTH SCIENCES	\$1,637,537.41	\$2,006,858.69	\$369,321.28	\$6,556,014.61	\$6,324,454.76	(\$231,559.85)
DIV of EDUCATIONAL OUTREACH	\$3,611.40	\$296.94	(\$3,314.46)	\$4,583.54	\$5,090.13	\$506.59
LAW SCHOOL	\$18,388.34	\$26,715.22	\$8,326.88	\$26,513.71	\$77,590.97	\$51,077.26
PRESIDENT'S OFFICE (Lincy Institute)	\$65,532.55	\$71,825.46	\$6,292.91	\$147,045.88	\$234,220.01	\$87,174.13
PROVOST	\$72,462.38	\$64,145.67	(\$8,316.71)	\$241,180.79	\$286,317.81	\$45,137.02
VP FOR RESEARCH & GRAD STUDIES	\$206,908.53	\$884,921.94	\$678,013.41	\$481,861.60	\$1,590,919.54	\$1,109,057.94
HARRY REID CENTER	\$0.00	\$0.00	\$0.00	\$122,853.95	\$0.02	(\$122,853.93)
VP STUDENT AFFAIRS	\$44,161.24	\$64,867.31	\$20,706.07	\$123,951.61	\$151,540.07	\$27,588.46
ACADEMIC ENRICHMENT & OUTREACH	\$2,788,954.65	\$2,724,094.30	(\$64,860.35)	\$8,313,955.24	\$7,715,250.20	(\$598,705.04)
STUDENT FINANCIAL SERVICES	\$241,377.00	\$204,660.00	(\$36,717.00)	\$471,377.00	\$450,709.00	(\$20,668.00)
VP FOR FINANCE & BUSINESS	\$0.00	\$0.00	\$0.00	\$25,730.00	\$4,912.92	(\$20,817.08)
VP FOR DIVERSITY	\$0.00	\$0.00	\$0.00	\$60.41	\$0.00	(\$60.41)
UNIVERSITY LIBRARIES	\$53,966.08	\$54,791.04	\$824.96	\$120,509.75	\$164,149.65	\$43,639.90
GRAND TOTAL:	\$10,654,793.36	\$11,665,697.24	\$1,010,903.88	\$35,803,926.99	\$36,061,899.64	\$257,972.65

PERCENTAGE CHANGE:

DOLLARS
3rd QTR: FY 15 & FY 16
9.5%

TOTAL DOLLARS
3rd QTR: FY 15 & FY 16
0.7%

Note: 1) Includes expenditures in all functions (i.e. instruction, research, public service, academic support, student services, institutional support, operations & maintenance and scholarships & fellowships) for sponsored programs accounts.

Office of Sponsored Programs
For Period: 3rd Qtr, January - March

RESEARCH EXPENDITURES FY 16

AGENCY NAME	CURRENT QUARTER			CUMULATIVE TOTALS		
	Total Expenditures FY 15	Total Expenditures FY 16	Total \$ Change	Total Expenditures FY 15	Total Expenditures FY 16	Total \$ Change
LEE BUSINESS SCHOOL	\$11,514.30	\$3,590.18	(\$7,924.12)	\$77,727.05	\$25,851.30	(\$51,875.75)
COLLEGE OF EDUCATION	\$72,716.59	\$87,640.49	\$14,923.90	\$527,493.68	\$268,167.06	(\$259,326.62)
COLLEGE OF ENGINEERING	\$2,375,176.89	\$1,654,361.51	(\$720,815.38)	\$7,780,446.45	\$6,923,444.53	(\$857,001.92)
COLLEGE OF FINE ARTS	\$3,297.50	\$0.00	(\$3,297.50)	\$71,707.03	\$0.00	(\$71,707.03)
COLLEGE OF HOTEL ADMINISTRATION	\$72,906.16	\$246,033.57	\$173,127.41	\$284,418.15	\$498,524.93	\$214,106.78
COLLEGE OF LIBERAL ARTS	\$275,405.54	\$185,230.98	(\$90,174.56)	\$832,034.97	\$688,270.10	(\$143,764.87)
COLLEGE OF SCIENCES	\$1,993,717.93	\$2,437,797.17	\$444,079.24	\$7,315,413.21	\$7,470,418.76	\$155,005.55
COLLEGE OF URBAN AFFAIRS	\$27,379.67	\$64,073.55	\$36,693.88	\$95,448.91	\$569,394.11	\$473,945.20
DIVISION OF HEALTH SCIENCES	\$1,261,248.62	\$1,313,967.02	\$52,718.40	\$5,254,467.10	\$4,704,889.97	(\$549,577.13)
DIV of EDUCATIONAL OUTREACH	\$1,548.87	\$296.94	(\$1,251.93)	\$2,113.56	\$880.22	(\$1,233.34)
LAW SCHOOL	\$0.00	\$0.00	\$0.00	\$7,918.37	\$5,365.80	(\$2,552.57)
PRESIDENT'S OFFICE (Lincy Institute)	\$33,758.79	\$32,186.59	(\$1,572.20)	\$110,766.66	\$100,175.71	(\$10,590.95)
PROVOST	\$4,296.67	\$1,776.75	(\$2,519.92)	\$4,296.67	\$14,232.92	\$9,936.25
VP FOR RESEARCH & GRAD STUDIES	\$128,619.42	\$825,022.58	\$696,403.16	\$285,239.81	\$1,372,145.52	\$1,086,905.71
HARRY REID CENTER	\$0.00	\$0.00	\$0.00	\$122,853.95	\$0.02	(\$122,853.93)
VP STUDENT AFFAIRS	\$0.00	\$2,689.02	\$2,689.02	\$0.00	\$2,689.02	\$2,689.02
ACADEMIC ENRICHMENT & OUTREACH	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STUDENT FINANCIAL SERVICES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
VP FOR FINANCE & BUSINESS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
VP FOR DIVERSITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNIVERSITY LIBRARIES	\$348.00	\$0.00	(\$348.00)	\$12,905.63	\$0.00	(\$12,905.63)
GRAND TOTAL:	\$6,261,934.95	\$6,854,666.35	\$592,731.40	\$22,785,251.20	\$22,644,449.97	(\$140,801.23)

PERCENTAGE CHANGE:

DOLLARS
3rd QTR: FY 15 & FY 16
9.5%

TOTAL DOLLARS
3rd QTR: FY 15 & FY 16
-0.6%

Office of Sponsored Programs
For Period: 3rd Qtr, January - March

RECOVERED F&A FY16

AGENCY NAME	CURRENT QUARTER			CUMULATIVE TOTALS		
	Total Expenditures FY 15	Total Expenditures FY 16	Total \$ Change	Total Expenditures FY 15	Total Expenditures FY 16	Total \$ Change
LEE BUSINESS SCHOOL	\$4,269.68	\$4,836.93	\$567.25	\$18,654.17	\$16,711.58	(\$1,942.59)
COLLEGE OF EDUCATION	\$23,313.46	\$35,584.44	\$12,270.98	\$127,598.05	\$107,314.88	(\$20,283.17)
COLLEGE OF ENGINEERING	\$426,214.14	\$361,755.82	(\$64,458.32)	\$1,529,065.45	\$1,584,010.86	\$54,945.41
COLLEGE OF FINE ARTS	\$0.00	\$1,052.53	\$1,052.53	(\$0.21)	\$1,052.53	\$1,052.74
COLLEGE OF HOTEL ADMINISTRATION	\$2,403.61	\$1,402.58	(\$1,001.03)	\$37,851.35	\$3,539.45	(\$34,311.90)
COLLEGE OF LIBERAL ARTS	\$72,673.87	\$45,263.16	(\$27,410.71)	\$216,688.64	\$178,250.66	(\$38,437.98)
COLLEGE OF SCIENCES	\$500,859.71	\$618,777.74	\$117,918.03	\$1,802,190.57	\$1,992,145.09	\$189,954.52
COLLEGE OF URBAN AFFAIRS	\$15,022.13	\$19,187.25	\$4,165.12	\$52,718.87	\$56,581.46	\$3,862.59
DIVISION OF HEALTH SCIENCES	\$262,104.90	\$254,650.13	(\$7,454.77)	\$850,477.89	\$865,188.84	\$14,710.95
DIV of EDUCATIONAL OUTREACH	\$758.60	\$44.22	(\$714.38)	\$887.99	\$1,208.63	\$320.64
LAW SCHOOL	\$0.00	\$0.00	\$0.00	\$230.63	\$156.28	(\$74.35)
PRESIDENT'S OFFICE (Lincy Institute)	\$0.00	\$2,643.33	\$2,643.33	\$0.00	\$3,259.52	\$3,259.52
PROVOST	\$4,698.63	\$4,305.67	(\$392.96)	\$16,934.15	\$22,358.74	\$5,424.59
VP FOR RESEARCH & GRAD STUDIES	\$11,202.59	\$114,911.24	\$103,708.65	\$18,579.63	\$161,928.24	\$143,348.61
HARRY REID CENTER	\$0.00	\$0.00	\$0.00	\$30,829.09	\$0.02	(\$30,829.07)
VP STUDENT AFFAIRS	\$619.68	\$1,724.84	\$1,105.16	\$2,967.22	\$4,020.33	\$1,053.11
ACADEMIC ENRICHMENT & OUTREACH	\$166,747.87	\$161,128.37	(\$5,619.50)	\$485,019.97	\$463,782.74	(\$21,237.23)
STUDENT FINANCIAL SERVICES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
VP FOR FINANCE & BUSINESS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
VP FOR DIVERSITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNIVERSITY LIBRARIES	\$6,523.75	\$13,596.67	\$7,072.92	\$9,342.01	\$34,082.45	\$24,740.44
GRAND TOTAL:	\$1,497,412.62	\$1,640,864.92	\$143,452.30	\$5,200,035.47	\$5,495,592.30	\$295,556.83

PERCENTAGE CHANGE:

DOLLARS
3rd QTR: FY 15 & FY 16
9.6%

TOTAL DOLLARS
3rd QTR: FY 15 & FY 16
5.7%

Note: 1) Includes expenditures charged to object code 89 for sponsored programs accounts (funds 2330-2397).

MEMORANDUM

TO: Tom Piechota and Stan Smith
FROM: Lori Olafson
DATE: 4/7/2016
SUBJECT: FY16 3rd Quarter Office of Research Integrity Data

SOCIAL BEHAVIORAL IRBs APPROVED FOR QUARTER

	<u>No.</u>
01/01/15 - 03/31/15	50
01/01/16 - 03/31/16	46
Total Change	(4)
Percent Change	-8%

CUMULATIVE SOCIAL BEHAVIORAL IRBs

	<u>No.</u>
07/01/14 - 03/31/15	134
07/01/15 - 03/31/16	167
Total Change	33
Percent Change	25%

BIOMEDICAL IRBs APPROVED FOR QUARTER

	<u>No.</u>
01/01/15 - 03/31/15	32
01/01/16 - 03/31/16	34
Total Change	2
Percent Change	6%

CUMULATIVE BIOMEDICAL IRBs

	<u>No.</u>
07/01/14 - 03/31/15	82
07/01/15 - 03/31/16	108
Total Change	26
Percent Change	32%

SOCIAL BEHAVIORAL AND BIOMEDICAL IRBs APPROVED FOR QUARTER

	<u>No.</u>
01/01/15 - 03/31/15	82
01/01/16 - 03/31/16	80
Total Change	(2)
Percent Change	-2%

CUMULATIVE SOCIAL BEHAVIORAL AND BIOMEDICAL IRBs

	<u>No.</u>
07/01/14 - 03/31/15	216
07/01/15 - 03/31/16	275
Total Change	59
Percent Change	27%

<i>SOCIAL BEHAVIORAL IRBs SUBMITTED FOR QUARTER</i>	
	<u>No.</u>
01/01/15 - 03/31/15	56
01/01/16 - 03/31/16	58
Total Change	2
Percent Change	4%

<i>CUMULATIVE SOCIAL BEHAVIORAL IRBs</i>	
	<u>No.</u>
07/01/14 - 03/31/15	175
07/01/15 - 03/31/16	203
Total Change	28
Percent Change	16%

<i>BIOMEDICAL IRBs SUBMITTED FOR QUARTER</i>	
	<u>No.</u>
10/01/14 - 12/31/14	38
10/01/15 - 12/31/15	48
Total Change	10
Percent Change	26%

<i>CUMULATIVE BIOMEDICAL IRBs</i>	
	<u>No.</u>
07/01/14 -03/31/15	96
07/01/15 - 03/31/16	125
Total Change	29
Percent Change	30%

<i>SOCIAL BEHAVIORAL AND BIOMEDICAL IRBs SUBMITTED FOR QUARTER</i>	
	<u>No.</u>
10/01/14 - 12/31/14	94
10/01/15 - 12/31/15	106
Total Change	12
Percent Change	13%

<i>CUMULATIVE SOCIAL BEHAVIORAL AND BIOMEDICAL IRBs</i>	
	<u>No.</u>
07/01/14 - 03/31/15	271
07/01/15 - 03/31/16	328
Total Change	57
Percent Change	21%

MEMORANDUM

TO: Tom Piechota
FROM: Zach Miles
DATE: 4/13/2016
SUBJECT: FY 16 3rd Quarter Status Technology Transfer Data

<i>DISCLOSURES RECEIVED FOR QUARTER</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	13
01/01/2016 - 03/31/2016	9
Total Change	(4)
Percent Change	-31%

<i>CUMULATIVE DISCLOSURES RECEIVED</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	29
07/01/2015 - 03/31/2016	42
Total Change	13
Percent Change	45%

<i>APPLICATIONS FILED FOR QUARTER</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	19
01/01/2016 - 03/31/2016	10
Total Change	(9)
Percent Change	-47%

<i>CUMULATIVE APPLICATIONS FILED</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	43
07/01/2015 - 03/31/2016	38
Total Change	(5)
Percent Change	-12%

<i>LICENSE AGREEMENTS FOR QUARTER</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	1
01/01/2016 - 03/31/2016	2
Total Change	1
Percent Change	100%

<i>CUMULATIVE LICENSE AGREEMENTS</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	29
07/01/2015 - 03/31/2016	26
Total Change	(3)
Percent Change	-10%

<i>INTER-INSTITUTIONAL/TEAMING AGREEMENTS/MOU/MTA</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	3
01/01/2016 - 03/31/2016	3
Total Change	0
Percent Change	0%

<i>CUMULATIVE INTER-INSTITUTIONAL/TEAMING AGREEMENTS/MOU/MTA</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	7
07/01/2015 - 03/31/2016	15
Total Change	8
Percent Change	114%

NON-DISCLOSURE AGREEMENTS

	<u>No.</u>
01/01/2015 - 03/31/2015	5
01/01/2016 - 03/31/2016	3
Total Change	(2)
Percent Change	-40%

CUMULATIVE NON-DISCLOSURE AGREEMENTS

	<u>No.</u>
07/01/2014 - 03/31/2015	12
07/01/2015 - 03/31/2016	9
Total Change	(3)
Percent Change	-25%

DONATIONS RECEIVED FOR QUARTER

	<u>No.</u>
01/01/2015 - 03/31/2015	10,000
01/01/2016 - 03/31/2016	6,000
Total Change	(4,000)
Percent Change	-40%

CUMULATIVE DONATIONS

	<u>No.</u>
07/01/2014 - 03/31/2015	2,310,000
07/01/2015 - 03/31/2016	26,000
Total Change	(2,284,000)
Percent Change	-99%

CSR RECEIVED FOR QUARTER

	<u>No.</u>
01/01/2015 - 03/31/2015	0
01/01/2016 - 03/31/2016	0
Total Change	0
Percent Change	0%

CUMULATIVE CSR

	<u>No.</u>
07/01/2014 - 03/31/2015	50,000
07/01/2015 - 03/31/2016	353,500
Total Change	303,500
Percent Change	607%

REVENUE RECEIVED FOR QUARTER

	<u>No.</u>
01/01/2015 - 03/31/2015	16,480
01/01/2016 - 03/31/2016	27,921
Total Change	11,441
Percent Change	69%

CUMULATIVE REVENUE

	<u>No.</u>
07/01/2014 - 03/31/2015	106,781
07/01/2015 - 03/31/2016	191,241
Total Change	84,460
Percent Change	79%

<i>SBDC JOBS CREATED/RETAINED FOR QUARTER</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	18
01/01/2016 - 03/31/2016	83
Total Change	65
Percent Change	361%

<i>CUMULATIVE JOBS CREATED/RETAINED</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	46
07/01/2015 - 03/31/2016	217
Total Change	171
Percent Change	372%

<i>SBDC NEW BUSINESS STARTS FOR QUARTER</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	1
01/01/2016 - 03/31/2016	3
Total Change	2
Percent Change	200%

<i>CUMULATIVE NEW BUSINESS STARTS</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	6
07/01/2015 - 03/31/2016	13
Total Change	7
Percent Change	117%

<i>SBDC CAPITAL ACQUIRED FOR QUARTER</i>	
	<u>No.</u>
01/01/2015 - 03/31/2015	2,688,071
01/01/2016 - 03/31/2016	7,526,100
Total Change	4,838,029
Percent Change	180%

<i>CUMULATIVE CAPITAL ACQUIRED</i>	
	<u>No.</u>
07/01/2014 - 03/31/2015	3,583,276
07/01/2015 - 03/31/2016	11,762,100
Total Change	8,178,824
Percent Change	228%

Faculty Fellow for Research Administration

Description and Responsibilities

A Faculty Fellow is being solicited to work within the Division of Research and Economic Development to help advance initiatives that are important for ensuring that UNLV has the appropriate research infrastructure in place to achieve Top Tier status. These initiatives include:

- Serving on Top Tier committees to promote research as a liaison for the Vice President for Research and Economic Development (VPRED).
- Involvement in research infrastructure issues including the development of a plan for shared core research facilities that support research across campus and are accessible to outside community partners.
- Development of policies and procedures that will support the success of new university-level Centers and Institutes.
- Distribution of information and administration of review panels for the Faculty Opportunity Award program.
- Preparation and distribution of paperwork on federal funding priorities.

The responsibilities will include working with the VPRED, the Associate Vice President for Research, and other campus stakeholders (e.g., faculty, Research Council, Associate Deans for Research, Provost Office) to advance the initiatives above.

Qualifications


The ideal candidate will be a Full Professor with a strong record of externally funded research, dynamic, and be able to bring together diverse groups of researchers and community partners. Associate Professors close to being considered for Full Professor are eligible for this position. The position reports to the VPRED.

Type of Appointment

This is a one-year appointment (starting in July or August 2016) with the potential of future renewals or appointment in research administration. Faculty will be expected to maintain their research program while balancing the administrative aspects of the position. Appropriate workload arrangements will be made with the relevant College and negotiated dependent on the faculty background.

Questions and Applications

If you have any questions, please contact Professor Brian Hedlund (brian.hedlund@unlv.edu). Please send a letter of application and current CV to Jill Zimbelman (jill.zimbelman@unlv.edu) by May 10.



Getting Funding From Foundations and Corporations

Caleen Norrod Johnson
Director of Foundation Relations
UNLV Foundation

John Brodie
Grant Writer
UNLV Foundation

April 15, 2016

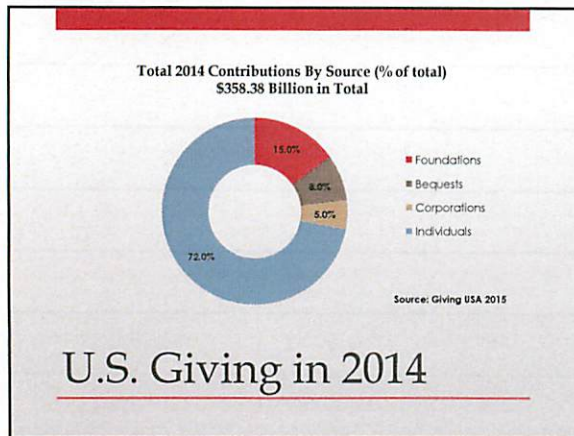
CHARITABLE GRANTS

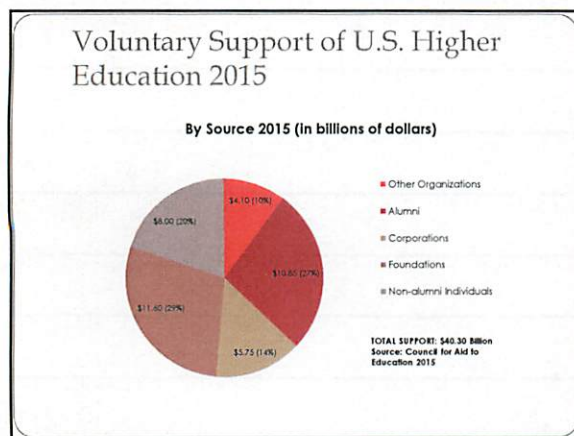
- A **charitable grant** is cash or an in-kind gift to a nonprofit organization from a funding entity, given to provide relief for a charitable cause.

GRANT-SEEKING

- Program planning
- Budget development
- Research on funders
- Proposal development
- Program implementation
- Program management
- Program evaluation
- Stewardship

... it's not just writing a proposal!





Largest U.S. Foundations

Name	State	Grants in 2014
Bill and Melinda Gates Foundation	WA	\$4,828,600,000
Ford Foundation	NY	\$66,400,000
Susan Thompson Buffett Foundation	NE	\$50,100,000
Foundation to Promote Open Society	NY	\$370,300,000
W. K. Kellogg Foundation	MI	\$65,000,000
Robert Wood Johnson Foundation	NJ	\$38,000,000
Walton Family Foundation, Inc.	AR	\$11,000,000
Silicon Valley Community Foundation	CA	\$305,000,000
David and Lucile Packard Foundation	CA	\$292,900,000
Andrew W. Mellon Foundation	NY	\$230,600,000
Lilly Endowment, Inc.	IN	\$216,000,000
Gordon and Betty Moore Foundation	CA	\$215,300,000
William and Flora Hewlett Foundation	CA	\$209,900,000
Leona and Sherman Helmsley Trust	NY	\$229,141,000
MacArthur Foundation	IL	\$206,400,000

GIFT VS. GRANT

In general, gifts:

- Are managed by development office
- Have no expectation of economic benefit (charitable intent)
- Have individual, private, or non-governmental sources of \$\$
- Don't direct specific scientific inquiry
- Have general or non-restrictive terms
- Are irrevocable
- Require minimal reporting; stewardship vs. contractual obligation

Source: Utah State University
Office of Sponsored Programs -
Courtesy of David Paul

GIFT VS. GRANT

In general, grants:

- Are managed by sponsored programs
- Are intended for the public good; may have benefit to sponsor
- Have governmental, private, or non-governmental sources of \$\$
- Are semi-directed; result of submitting proposal driven by outcome
- Have semi-restrictive terms
- Are revocable
- Have IP issues
- Have specific periods of performance and financial accountability
- Payments contingent on progress
- Require financial and progress reports

Source: Utah State University
Office of Sponsored Programs -
Courtesy of David Paul

UNIVERSITY SOLICITATION COORDINATION AND GIFT ACCEPTANCE POLICY

- Provides guidance to facilitate the gift-giving process
- We encourage philanthropic creativity
- Policy will ensure that private gifts to UNLV are properly recorded and administered using appropriate internal controls and sound financial business practices
- Policy will ensure that we're in compliance with external regulations and standards, NSHE policy and the university's fiduciary obligations to donors
- Comments taken through Wednesday, April 20 at policycommittee@unlv.edu

UNIVERSITY SOLICITATION COORDINATION AND GIFT ACCEPTANCE POLICY

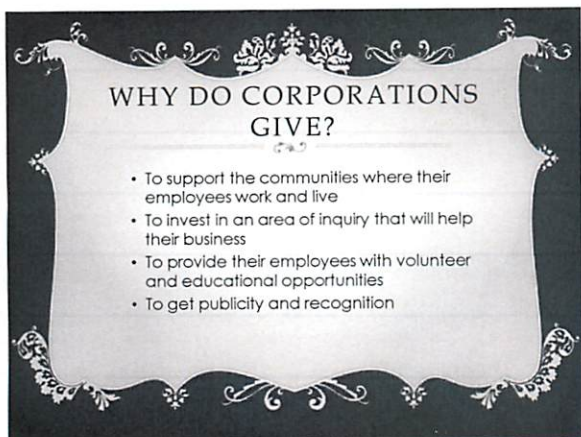
- The University of Nevada, Las Vegas Foundation is the primary fundraising agency for the University of Nevada, Las Vegas and the President's Designee for accepting gifts on behalf of UNLV.
- The UNLV Foundation is responsible for raising and managing all private gifts to and for UNLV.
- Coordination with the UNLV Foundation is required prior to making a solicitation.
- Working through both central development office (Foundation) staff and through staff members assigned to particular college or administrative units, the UNLV Foundation develops and manages a variety of programs designed to raise funds for UNLV.

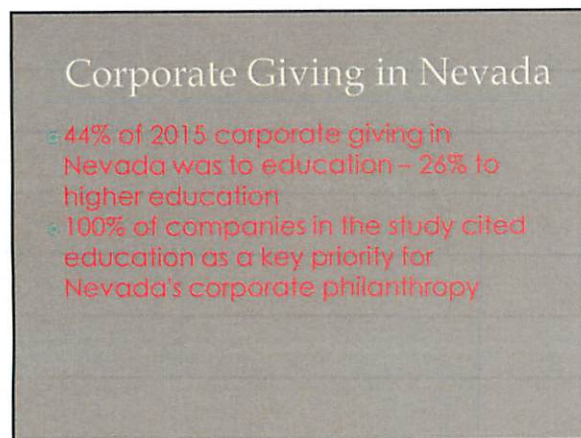
Sources of Charitable Grants

- Foundations: Nonprofits giving away resources to reach charitable goals.
- Corporations: Businesses "giving back" through charitable donations, helping communities where they operate or have clients/customers.
- Other organizations: Professional and trade associations, giving "clubs," donor-advised funds, etc. – usually the individuals in the group have a say in the direction of gifts.

WHY DO FOUNDATIONS GIVE?

- To promote innovation
- To get publicity and recognition
- To help a specific cause that is aligned with their own mission







**BUILDING A
STRONG
RELATIONSHIP
BETWEEN THE
GRANTOR AND
YOU**

- *Compatible mission and goals*
- *Project planning*
- *Developing trust*
- *Connecting through staff and volunteers who know the funder*

Thank You!

Questions?

- Caleen Norrod Johnson at caleen.johnson@unlv.edu
- John Brodie at john.brodie@unlv.edu

Is It A Gift, Grant or Contract?

Correct classification can be very complex. Rather than focusing on any individual characteristic, each award must be looked at in its totality.

	Gifts	Grant	Contract	License Agreement
Managing UNLV Office	UNLV Foundation 702-895-2828	Sponsored Programs 702-895-1357	Sponsored Programs 702-895-1357	Technology Transfer 702-895-5200
Primary Intent	Charitable intent, no expectation of economic benefit or quid pro quo	Public good	Economic benefit or specific benefit to sponsor	Economic benefit or specific benefit to sponsor
Source of funding	Individual, private, non- governmental	All except individual	All except individual	All
Purpose	General. Doesn't direct specific scientific inquiry. May be restricted to a particular purpose such as professorship or scholarship.	Semi-directed with broad, programmatic objective. Result of submitting proposal driven by outcome.	Specific purpose, service or objective. Result of submitting proposal driven by outcome.	Specific purpose, service or objective relating to UNLV Intellectual Property (IP).
Terms	General or non- restrictive	Semi-restrictive	Restrictive	Restrictive
	Irrevocable	Revocable	Revocable	Revocable
		Intellectual property (IP) issues	IP/ownership issues	IP/Ownership terms
		Typically must return unexpended funds	Data or publication restrictions (possibly review and/or approval language)	Data or publication restrictions (possibly review and/or approval language)
		Payments contingent on progress	Payments may be contingent on progress	Payments may be contingent on progress
		Specific period of performance	Specific period of performance	Specific period of performance or license term
		Specific financial accountability	Specific financial accountability	Specific financial accountability
		Sponsor approval may be required for deviations	Sponsor approval required for deviations	Sponsor approval required for deviations
Reporting/ Deliverables	Minimal reporting, stewardship vs. contractual obligation	Required	Required	Required
		Regular financial and progress reports required	Regular financial and progress reports required	Regular financial and progress reports required
		Final financial and final technical reports standard	Final financial and final technical reports standard	Final financial and final technical reports standard



VICE PRESIDENT FOR ADVANCEMENT

University Solicitation Coordination and Gift Acceptance Policy

RESPONSIBLE ADMINISTRATOR: VICE PRESIDENT FOR ADVANCEMENT
RESPONSIBLE OFFICE(S): OFFICE OF VICE PRESIDENT FOR ADVANCEMENT
ORIGINALLY ISSUED: N/A
APPROVALS: APPROVED BY:

William G. Boldt, Vice President for Advancement

Date

Nancy B. Rapoport, Executive Vice President & Provost

Date

APPROVED BY THE PRESIDENT:

Len Jessup

Date

REVISION DATE: NA

STATEMENT OF PURPOSE

The purpose of this policy is to provide guidance to the UNLV community and the general public in order to facilitate the gift-giving process. The University of Nevada, Las Vegas encourages philanthropic creativity. Therefore this policy is provided to ensure that private gifts to UNLV are properly recorded and administered using appropriate internal controls and sound financial business practices. In addition, it helps to ensure that the process of acceptance, management and reporting of gifts is in compliance with external regulations and standards, NSHE policy and the university's fiduciary obligations to donors.

ENTITIES AFFECTED BY THIS POLICY

Entities affected by this policy include:

- All employees and units of the university
- All volunteers seeking gift funds on behalf of the university

POLICY

The University of Nevada, Las Vegas (UNLV) strongly encourages the solicitation and acceptance of private gifts from individuals, corporations, foundations and associations which enable it to fulfill its mission of teaching, research, service and engagement. The University of Nevada, Las Vegas Foundation is the primary fundraising agency for the University of Nevada, Las Vegas and the President's Designee for accepting gifts on behalf of UNLV. The UNLV Foundation is responsible for raising and managing all private gifts to and for UNLV. Coordination with the UNLV Foundation is required prior to making a solicitation. Working through both central development office (Foundation) staff and through staff members assigned to particular

college or administrative units, the UNLV Foundation develops and manages a variety of programs designed to raise funds for UNLV.

Gifts may be given as outright gifts of cash, securities, property, land, or other items of value. A gift may be outright or deferred. A gift may be unrestricted, restricted or for a permanent endowment. Gifts to UNLV may be either for the general purposes of the university or for the specific use of one of its constituent schools/colleges, departments, institutes, centers, programs or agencies. Gifts can also be used to sponsor or underwrite campus events or activities, as long as they are qualified, meaning that no tangible benefits, such as meals, tickets or other tangible items or call to action of sponsors' products, are offered to the donor.

Many private and corporate foundations and other grant making institutions use the word "grant" and "gift" interchangeably. Sponsored projects normally come from governmental sources, are legally enforceable, require outcomes and many times those outcomes are owned by the donor or have similar quid-pro-quo arrangements. Please see Solicitation and Grant Coordination Procedures under related documents for a full definition.

Gifts cannot be given to or in support of specific individuals. Gifts can be earmarked for scholarship, flood relief, earthquake relief, or other disaster relief. However, gifts cannot be earmarked for relief or support of a particular individual or family.

The following types of gifts may not be accepted without approval from the President or President's Designee:

- Any gift offered with restriction on disposition and/or future maintenance requirements.
- Gifts of intangible personal property including but not limited to: Stocks, Bonds, Partnership Interests, Patents, Royalties, or any other intangible personal property.
- Gifts of tangible personal property, such as paintings, other works of art, or collectibles that are donated on the condition or expectation that the items will be permanently exhibited, or that the collections will be maintained and exhibited in a specific manner. (Please see University Art Policy)
- Gifts of real estate or other real property.
- Non-cash gifts that would require the university to incur transfer costs. Generally, the cost of transporting or otherwise transferring non-cash gifts to the university should be borne by the donor.

Principles

1. Members of the university community may seek gifts from individuals, corporations, foundations, and associations. However, gifts may be sought only for projects that are consistent with the university's mission and strategic plan and that have appropriate academic or administrative approval. **Fundraising activity may not be undertaken without the explicit authorization of the UNLV Foundation, acting in its capacity as the university's primary fundraising agency.**

Occasions arise when a donor makes an unsolicited commitment or contribution. In those rare occasions, the person receiving it should gratefully acknowledge the gift and must immediately contact their Development Officer or the Foundation.

2. The University of Nevada, Las Vegas values and will protect its integrity, its independence, and the academic freedom of the university community. Gifts that may expose UNLV to adverse publicity, require extraordinary expenditures, or involve the university in unexpected responsibilities because of their source, condition, or purposes will be referred to the President for a decision on acceptance.
3. The University of Nevada, Las Vegas is unable to accept gifts overly restrictive in purpose or inconsistent with its mission, purposes, and priorities. No gift offered to UNLV shall restrict the university's prerogative to accept other gifts. Further, no gift will be accepted that attempts to limit, beyond a general definition of subject area, the research that a faculty member or student may perform.
4. The University of Nevada, Las Vegas will not accept gifts which involve unlawful discrimination based upon race, sex, age, national origin, color, handicap or any other basis prohibited by federal, state, and local laws and regulations. UNLV will not accept gifts which obligate it to violate any other applicable law or regulation, or which would lead university personnel to violate the charter of the university or the policies of the university and Nevada System of Higher Education.
5. Although benefits, particularly tax and financial considerations may accrue to donors, the donor must have a donative intent as a primary motive for making a gift to the university. Donative intent is the intention to give away something of value for the betterment of the university. Funds received by the university for the benefit of the university shall only be accounted for as gifts where such donative intent is present. Amounts received in equal exchange for services or property shall not be accounted for as gifts. Amounts received that result in tangible benefits to the donor in the form of recognition items, tickets to events, or other forms of benefit will be accepted, processed, and receipted in accordance with applicable IRS regulations.
6. University associated entities, including the other 501(c) (3) organizations that support the university, are required to follow this policy.
7. The President or President's Designee may adopt procedures for the acceptance of gifts to ensure that private gifts to UNLV are properly recorded and administered and to ensure that the acceptance and management of gifts are handled in compliance with external regulations and the university's fiduciary obligations to donors. Such procedures must comply with applicable federal and state laws and be consistent with this policy and other applicable university and NSHE administrative policies and regulations.

RELATED DOCUMENTS

Statement No. 34 of the Governmental Accounting Standards Board, Paragraph 27
Purchasing Policies
NSHE Procedures and Guidelines Manual Section 2, Part 9 - Equipment Inventory
UNLV Foundation Gift in Kind Policies and Procedures
University Art Policy
Solicitation and Grant Coordination Procedures
Bylaws of the Board of Regents

CONTACTS

UNLV Foundation 702- 895-3641
Controller's Office 702-895-3517
Insurance & Claims Administration 702-895-5735
Property Control 702-895-0859
Purchasing 702-895-3521

DEFINITIONS

Endowment – A long-term investment in the university that provides benefits to students, faculty or programs year after year, generation after generation. An endowed gift offers alumni and friends the opportunity to have their name or the name of a loved one, linked to an area of the university in which they have a special interest. Endowments can be unrestricted to provide maximum flexibility for the needs and challenges of the future or they can be restricted to a purpose such as an undergraduate scholarship, research support or towards a particular area or program.

Fundraising – The process of gathering voluntary contributions of money or other resources, by requesting donations from individuals, businesses, charitable foundations, and other organizations.

Gift – Any transfer of personal or real property made to UNLV or the UNLV Foundation, in which no goods or services are expected, implied or forthcoming for the donor. Gifts are made voluntarily and without consideration by an individual, corporation, foundation, organization, or other non-governmental entity. Although the following gifts may not be tax deductible, they are covered under this policy.

- Gift of Service – Examples include meal at a donor owned restaurant and hotel stay.
- Partial Interest Gift – Examples include use of donor owned home and use of donor owned equipment.

Intangible Personal Property - Includes items such as stocks, bonds, mutual fund shares, partnerships, copyrights, patents and royalties.

Real Property - Land and anything growing on, attached to, or erected on it, excluding anything that may be severed without injury to the land. This includes real estate (land and houses), and forms of commercial property (real estate zoned for business or industrial use).

Tangible Personal Property - Includes items such as books, artwork, boats, sports equipment and computer hardware.

Quid-Pro-Quo - An exchange of goods or services, where one transfer is contingent upon the other.
